



Unix Architecture Evolution: Milestones and Lessons Learned

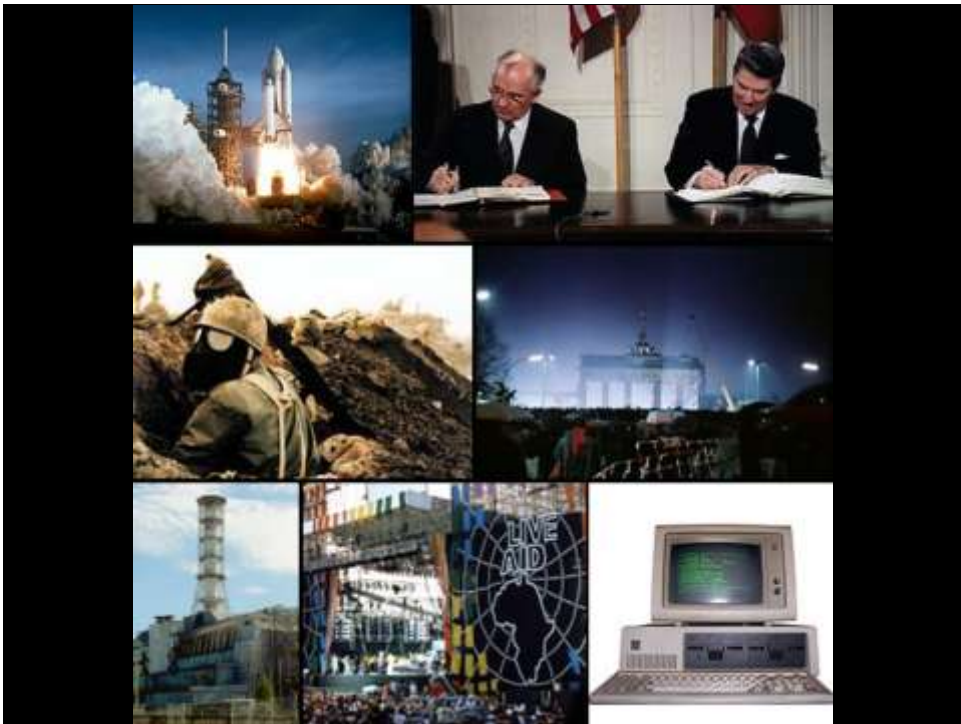
Diomidis Spinellis

Department of Management Science and Technology
Athens University of Economics and Business

www.spinellis.gr

@CoolSWEng









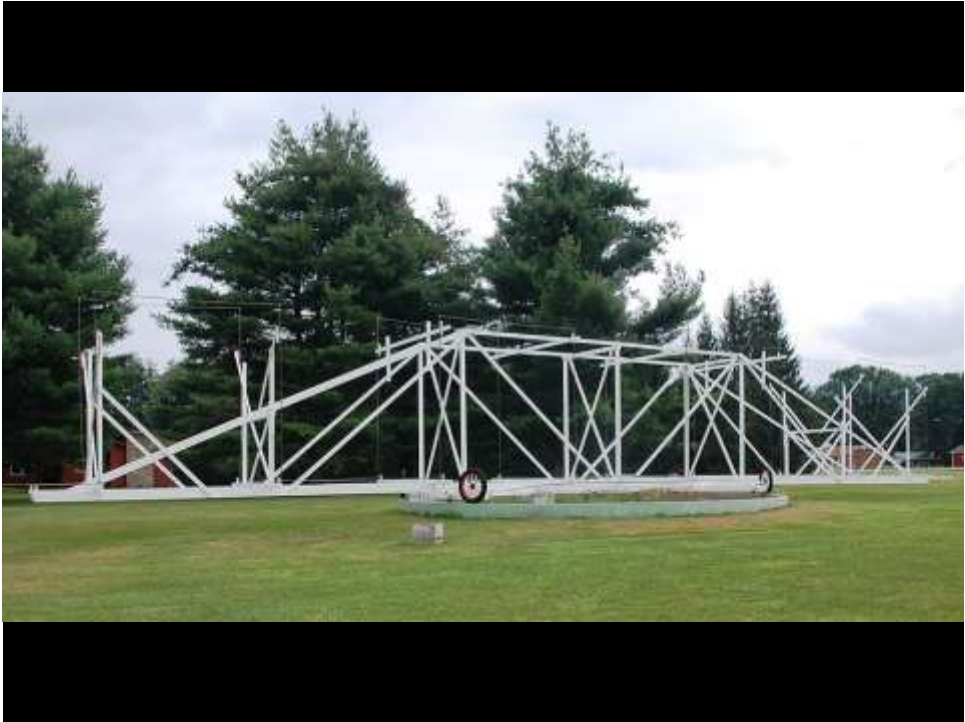
Overview

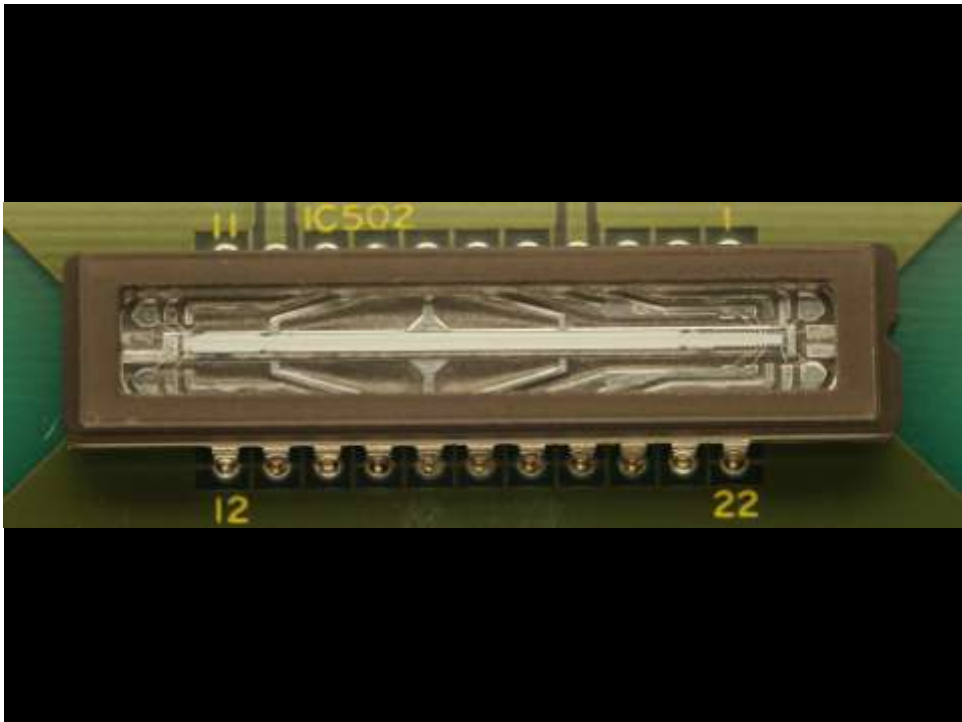
- Groundwork
- Sources
- Important architectural milestones
- Evolution in numbers
- Evolution in words

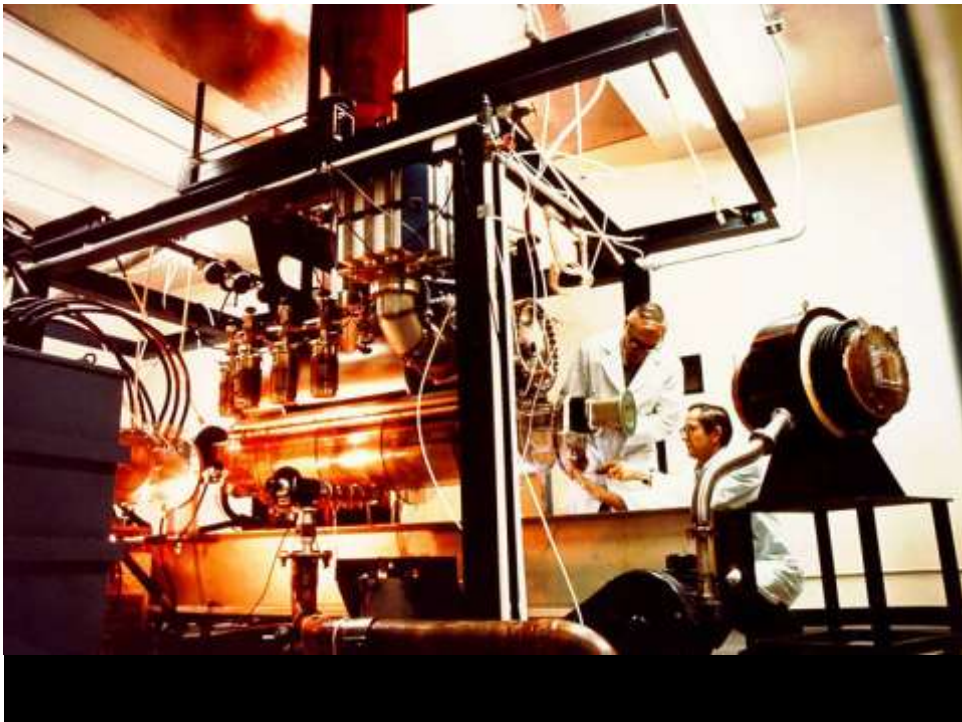
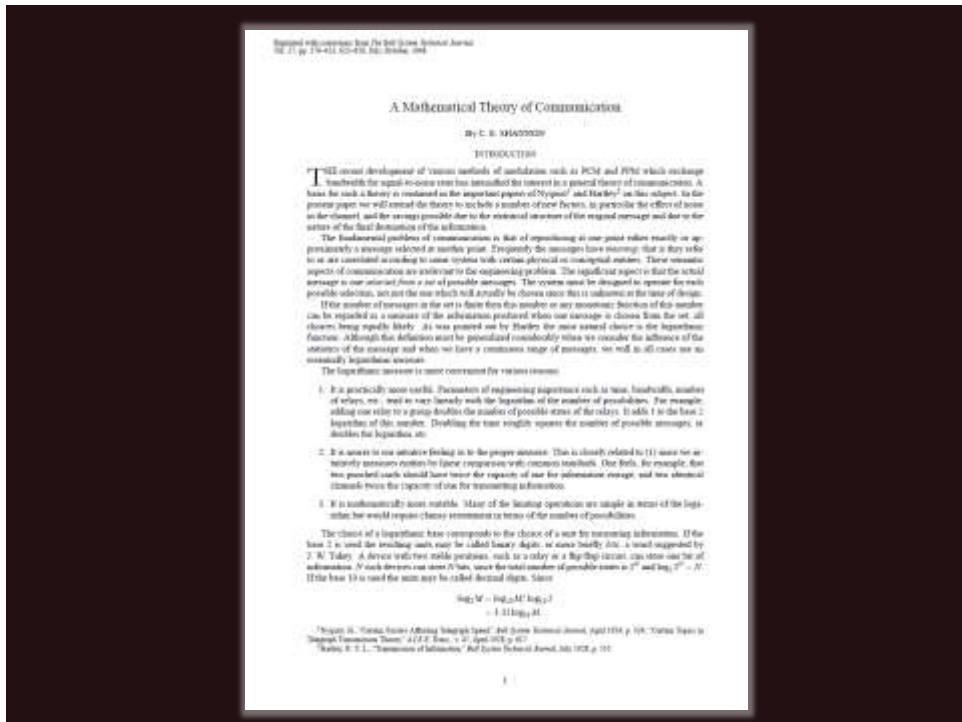






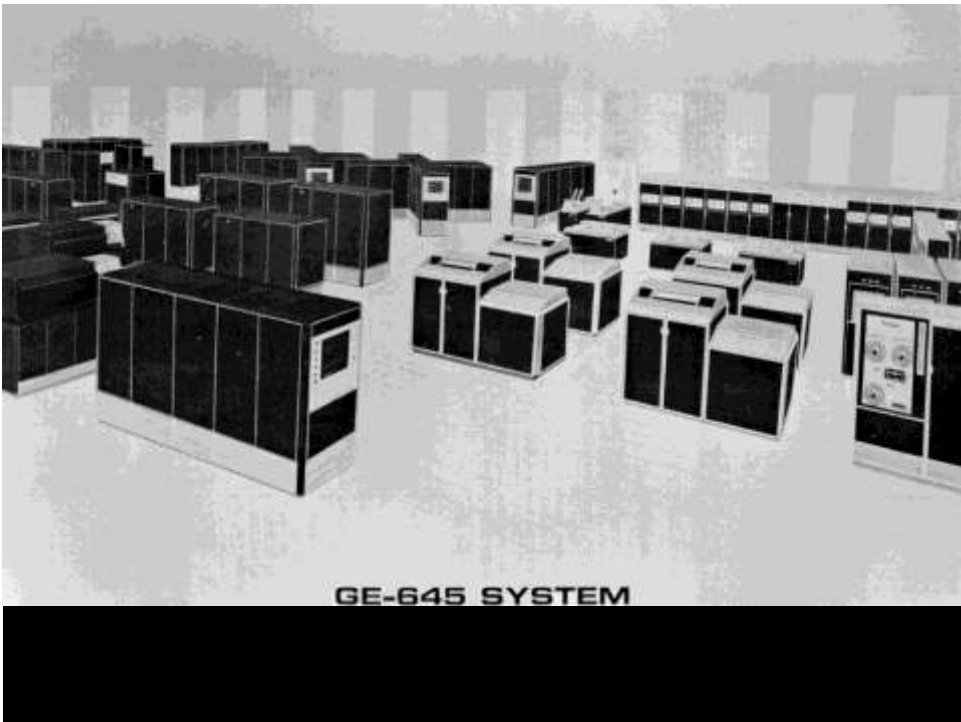




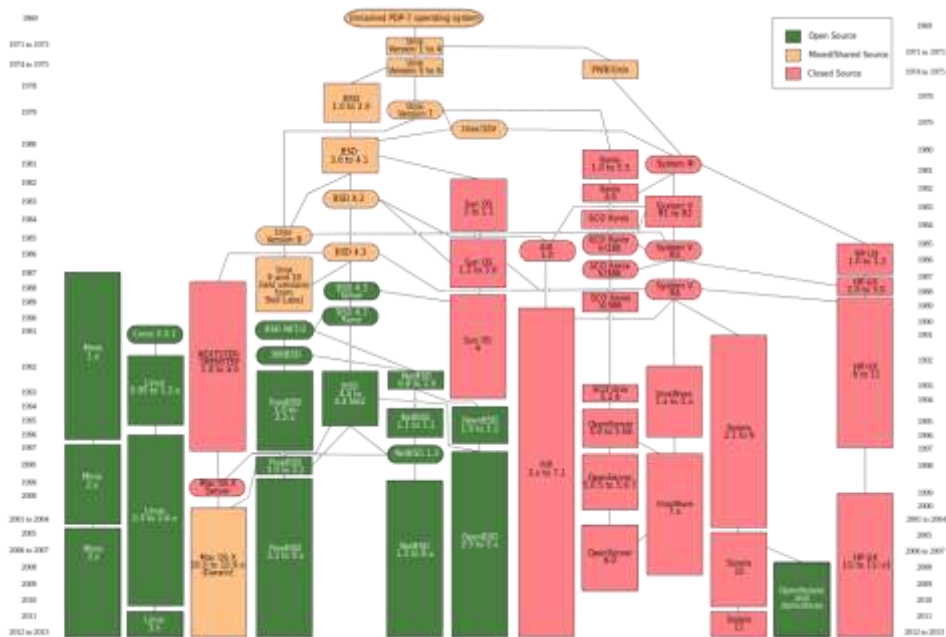












Why Unix is important

- Exemplar design
- Technical contributions,
- Impact
- Development model
- Widespread use
- “unusual simplicity, power, and elegance”

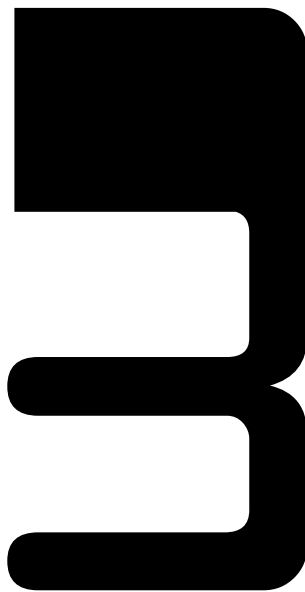


System technology

- Hierarchical file system
- Compatible file, device, networking, and inter-process I/O
- Pipes and filters architecture
- Virtual file systems
- The shell as a user-selectable regular process

Associated Technologies

- C and C++
- Parser and lexical analyzer generators
- Software development environments
- Document preparation tools and declarative markup
- Scripting languages
- TCP/IP networking
- Configuration management systems



A. T. & T. SETTLES ANTITRUST CASE; SHARES PATENTS

U. S. Hails Consent Decree as
Major Victory—Company
Calls Terms 'Stringent'

By ANTHONY LEWIS

Special to The New York Times.

WASHINGTON, Jan. 24—An antitrust suit against the American Telephone and Telegraph Company was settled today on terms described by Government lawyers as a major victory.

Herbert Brownell Jr., Attorney General, announced the signing of a consent decree in the Federal Court in Newark, N. J. Under the terms of the settlement A. T. & T. must:

•License 8,600 existing patents to all applicants without royalties.

•License all its other patents, present and future, to any American concern at "reasonable and nondiscriminatory" rates.

•Get out of all business not directly connected with the communications field.

•Maintain uniform cost accounting methods for its manufacturing subsidiary, Western Electric.

One of 'Most Important'

Stanley N. Barnes, Assistant Attorney General in charge of the Justice Department's Antitrust Division, said the decree was "one of the most important" in antitrust history. Another department lawyer called it "unprecedented."

In New York, Cleo F. Craig, president of A. T. & T., acknowledged that the terms of the consent decree were "stringent." However, he said, the settlement will leave intact "the unique combination and teamwork of the operating companies, the Bell Telephone Laboratories and the Western Electric Company that over the years has produced for the people of this country the finest, most widely used and most progressive telephone service in the world."

The A. T. & T. case was one of three major antitrust suits brought by the Government in the electronics field since World War II. The others, involving the Radio Corporation of America and International Business Machines, also are in negotiations for possible consent settlements. The I. B. M. negotiations are believed to be almost finished.

Through subsidiary Bell operating companies, A. T. & T. controls a majority of the country's telephone lines. Western Electric, its wholly owned subsidiary, makes the equipment for all Bell companies.

U. S. Pressed Civil Suit

On Dec. 31, 1954, the assets of A. T. & T. and the Bell system were estimated at \$13,000,000,000.

The Government complaint, filed in 1935, charged that A. T. & T. and Western Electric had "unlawfully restrained and monopolized trade and commerce in the manufacture, distribution, sale and installation of telephone equipment."

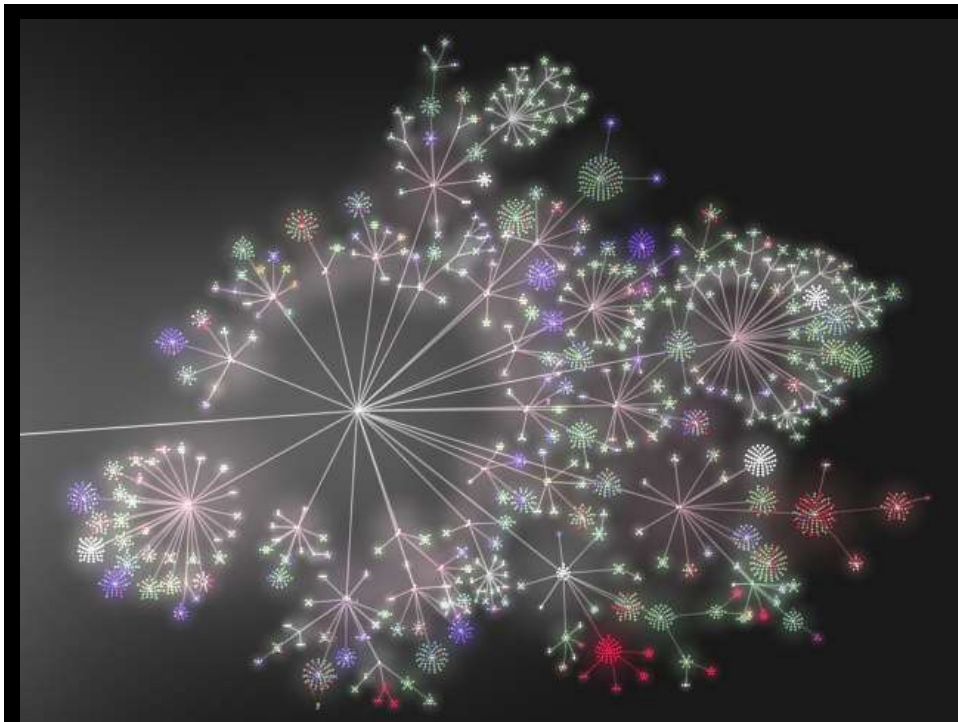
It was a civil suit. The Government was not calling for a fine but wanted the courts to order changes in A. T. & T.'s structure. Specifically, the Government asked that the parent corporation give up its interest in Western Electric, that Western Electric be dissolved and its assets divided among three other companies.

The judgment entered today allows Western Electric to continue as manufacturer to the Bell System. However, several

Continued on Page 15, Column 4

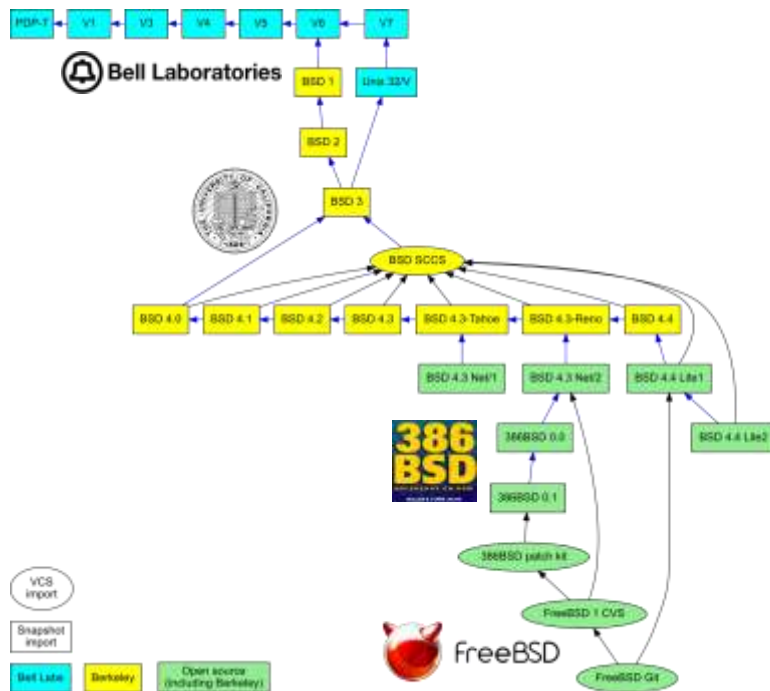
The New York Times

Published January 25, 1955
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Motivation

- Explore evolution of programming style
- Consolidate digital artifacts of historical importance
- Collect and record history that is fading away
- Provide a data set of digital archeology and repository mining



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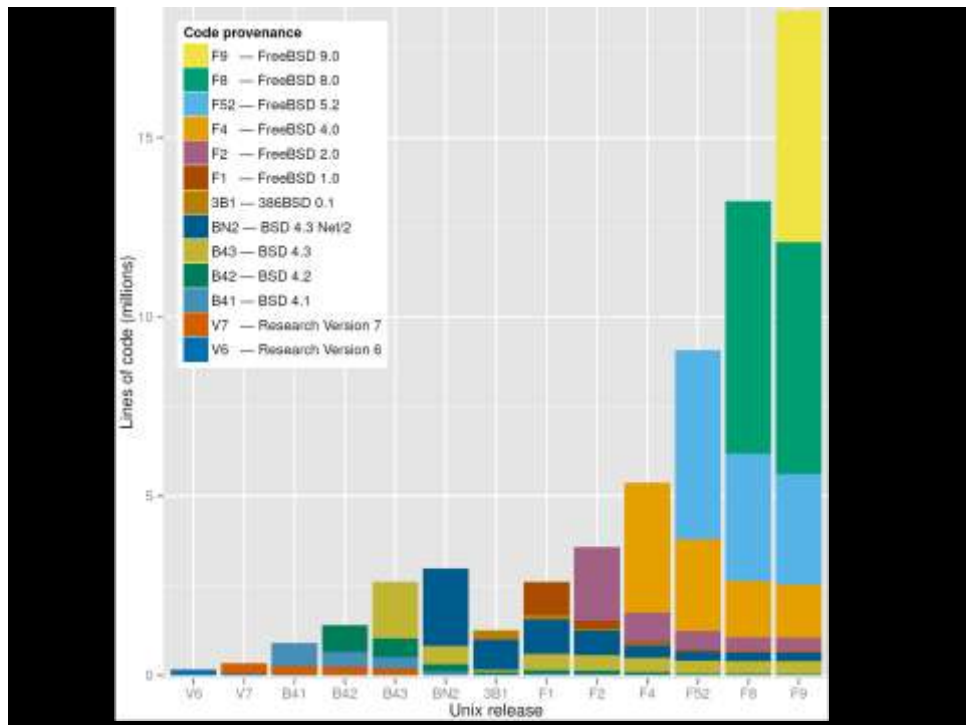
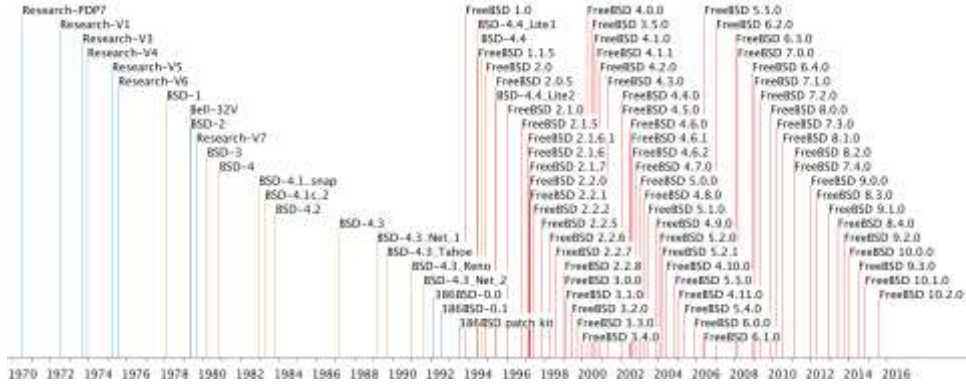
$ git checkout FreeBSD-release/10.0.0
$ git blame -w -L 1 -C -C ../lib/libc/gen/tzname.c

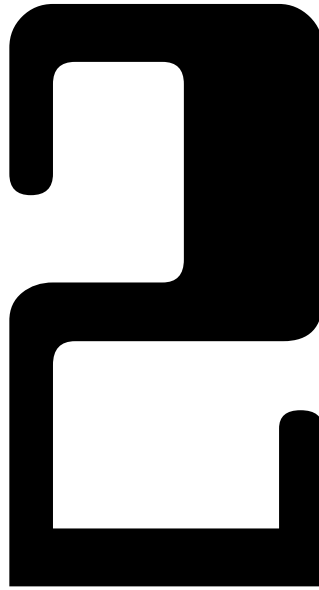
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 76) static struct zone {
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 77)     int    offset;
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 78)     char   *stdzone;
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 79)     char   *d1zone;
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 80) } zonetab[] = {
lib/libc/gen/tzname.c (Jordan K. Hubbard 1998-07-12 18:57:58 +0000 81)     {-1*60,  "MET",   "MET DST"},
[... ]
lib/libc/gen/tzname.c (Jordan K. Hubbard 1998-07-12 18:57:58 +0000 96)     {-1}
usr/src/libc/gen/tzname.c (Bill Joy 1980-12-22 00:40:25 -0800 97) };
usr/src/libc/gen/tzname.c (Bill Joy 1980-12-22 00:40:25 -0800 98)
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 106) char *
lib/libc/gen/tzname.c (Ed Schouten 2009-12-05 19:31:38 +0000 107)     tztab(int zone, int dst)
lib/libc/gen/tzname.c (Rodney Grimes 1994-05-27 05:00:24 +0000 108) {
lib/libc/gen/tzname.c (David E. O'Brien 2002-02-01 01:08:48 +0000 109)     struct zone *zp;
lib/libc/gen/tzname.c (David E. O'Brien 2002-02-01 01:08:48 +0000 110)     char   sign;
usr/src/libc/gen/tzname.c (Bill Joy 1980-12-22 00:40:25 -0800 111)
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 112)     for (zp = zonetab; zp->offset !=
-1; ++zp) /* static tables */
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 113)         if (zp->offset == zone) {
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 114)             if (dst && zp->d1zone)
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 115)                 return(zp->d1zone);
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 116)             if (!dst && zp->stdzone)
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 117)                 return(zp->stdzone);
usr/src/libc/gen/tzname.c (Dennis Ritchie 1979-01-10 14:58:45 -0500 118)         }
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 119)
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 120)     if (zone < 0) {
usr/src/libc/gen/tzname.c (Bill Joy 1980-12-22 00:40:25 -0800 121)         zone = -zone;
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 122)         sign = '+';
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 123)     }
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 124)     else
usr/src/libc/gen/tzname.c (Keith Bostic 1987-03-28 19:27:07 -0800 125)         sign = '-';
lib/libc/gen/tzname.c (Warner Losh 1998-01-21 21:46:36 +0000 126)     (void)printf("zone,
sizeof(czone),
lib/libc/gen/tzname.c (Warner Losh 1998-01-21 21:46:36 +0000 127)         "amt%ld:%02d", sign, zone /
60, zone % 60);
lib/libc/gen/tzname.c (Rodney Grimes 1994-05-27 05:00:24 +0000 128)     return(czone);
lib/libc/gen/tzname.c (Rodney Grimes 1994-05-27 05:00:24 +0000 129) }

```

In Numbers ...

| Metric | Unix history | Linux history |
|----------------------|--------------|---------------|
| Start date | 30/06/1970 | 17/09/1991 |
| Start files | 43 | 92 |
| Start lines | 11,500 | 917,812 |
| End files | 63,049 | 51,396 |
| End lines | 27,388,943 | 21,525,436 |
| Data set size (.git) | 1.1GB | 1.0GB |
| Number of commits | 495,622 | 611,735 |
| Number of merges | 2,523 | 48,821 |
| Number of authors | 973 | 18,465 |
| Days with activity | 13,004 | 5,126 |





dspinellis.github.io/unix-history-man

Evolution of Unix Facilities

1. User commands
2. System calls
3. C library functions
4. Devices and special files
5. File formats and conventions
6. Games et. al.
7. Miscellanea
8. System maintenance procedures and commands
9. System kernel interfaces



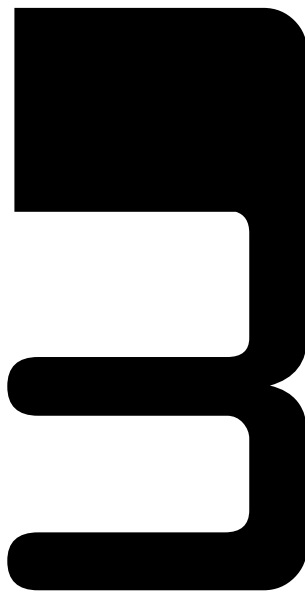
Evolution of Unix section 2: System calls

| Facility | Appearance | Research V1 | Research V2 | Research V3 | Research V4 | Research V5 | Research V6 | BSD 1 | BSD 2 | Get 32V | Research V7 | BSD 3 |
|----------|-------------|-------------|-------------|-------------|-------------|-------------|-------------|-------|-------|---------|-------------|-------|
| libc | Research V1 | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libcvt | Research V1 | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc6 | Research V1 | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc7 | Research V1 | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc8 | Research V1 | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc9 | Research V2 | | █ | | | | | | | | | |
| libc10 | Research V2 | | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc11 | Research V2 | | █ | █ | | | | | | | | |
| libc12 | Research V2 | | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc13 | Research V2 | | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc14 | Research V2 | | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc15 | Research V2 | | █ | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc16 | Research V3 | | | █ | | | | | | | | |
| libc17 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc18 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc19 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc20 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc21 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc22 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc23 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc24 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc25 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc26 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc27 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc28 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc29 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc30 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc31 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc33 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc34 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc42 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc43 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc45 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc46 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc47 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc48 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc49 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc50 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc52 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc55 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc57 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc97 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
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| libc99 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |
| libc100 | Research V3 | | | █ | █ | █ | █ | █ | █ | █ | █ | █ |

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Disclaimers

The name of a facility may have been renumbered over time.
 Facilities in sections L, G, E moved across sections over time. To allow a continuous view of their evolution, all have been relocated to the section of the most recent FreeBSD release, if they still existed at the time.
 The evolution data of collapsed bar nodes shows the evolution of the tree's first child node.







Milestones



Observations

Numbers & Insights

Things to Take Away ...

- Architectural evolution in practice
- 1.1GB Git repository
 - github.com/dspinellis/unix-history-repo
- Open source project
 - github.com/dspinellis/unix-history-make
- Architectural lessons to apply
- Joint work with **Paris Avgeriou** Professor (chair of Software Engineering) and Head of the SEARCH (Software Engineering and Architecture) Group of the Johann Bernoulli Institute for Mathematics and Computer Science at the University of *Groningen* (RuG).





PDP-7 [Unix] (1970)

- Kernel
- Layering and Partitioning
- System Call
- Data Scoping
- Interpreter
- Monolithic Implementation
- Process Management
- Descriptor Management
- Separation of File Metadata from File Naming
- Devices as Files
- File I/O
- Filesystem

| | |
|---------------|---------------------|
| betweni 0 | |
| lmc cma | dac 9ftt |
| lac betwen i | xct betwen i |
| dac 9ftt | fad 9ftt isz betwen |
| isz betwen | |
| lacq | spa |
| tad 9ftt i | jmp sf |
| sma | |
| jmp if | |
| lac betwen i | xct betwen i |
| dac 9ftt | isz betwen |
| ist betwen | |
| lacq | fad 9ftt |
| tad 9ftt i | spa sma |
| cma | |
| spa sma | isz betwen low 9ftt |
| 1: isz betwen | jmp betwen i |
| lacq | |
| cma | |
| jmp betwen i | |
| copyi 0 | |
| w1 | |
| tad copy i | |
| dac 8 | |
| isz copy | |
| w1 | |

Kernel

- 2584 lines
- Loads and executes user-level commands
- Provides the file abstraction
- Virtualizes the hardware interfaces
- Establishes ownership of files

Layering and Partitioning

| | | | | |
|-------|---------|----------|----------|----------|
| adm.s | cat.s | dskio.s | init.s | s6.s |
| ald.s | check.s | dskres.s | lcase.b | s7.s |
| apr.s | chmod.s | dksav.s | maksys.s | s8.s |
| as.s | chown.s | ds.s | s1.s | s9.s |
| bc.s | chrn.s | dsw.s | s2.s | scope.v |
| bi.s | cp.s | ed1.s | s3.s | sop.s |
| bl.s | db.s | ed2.s | s4.s | trysys.s |
| cas.s | dmabs.s | ind.b | s5.s | |

Process Management (fork)

```

.fork1
    dms lookfor1 0 " not-used
        skip
        dms error
    dac 9f+t
    list uniqpid
    lac uniqpid
    dac u,ac
    lav sysekit
    dac u,swappret
    lac 0200000
    ead u,ulistp i
    dac u,ulistp i
    dms dskswap1 07000
    lac 9f+t
    dac u,ulistp
    lac 0100000
    xor u,ulistp i
    dac u,ulistp i
    lac u,pid

```

Descriptor Management

```

fget: 0
      dms betwen; d0; d9
      jmp fget 1
      cli; mul; 9
      larr

      tad oflags
      dac 9f+t
      dac .+2
      dms copy; .; fnode; 3
      isx fget
      jmp fget 1

fput: 0
      lac 9f+t
      dac .+3
      dms copy; fnode; .; 3
      jmp fput 1
      t = t+1

```

Separation of File Metadata from File Naming

| | |
|--|--|
| <pre> inode: i.flags; .F; +1 i.asize; .F; +7 i.uid; .F; +1 i.nlink; .F; +1 i.size; .F; +1 i.uniq; .F; +1 . = inode+12 </pre> | <pre> name: 0 dms iget =1 tad name; i dac 9f+t+1 isx name; lac i.flags and o20 sna jmp name; i =8 tad i.size cma iras 3 dac 9f+t sna jmp name; i dzm di </pre> |
|--|--|

Devices as Files

```

ttyin:
  <tt>;<yi>;<n 040;040040
ttyout:
  <tt>;<yo>;<ut>; 040040
keybd:
  <ke>;<yb>;<oa>;<rd>
-----
displ:
  <di>;<sp>;<la>;<y 040
sh:
  <sh>; 040040;040040;040040
system:
  <sy>;<st>;<em>; 040040
-----

```

File I/O

- open
- read
- write
- seek
- tell
- close

Filesystem

- creat
- rename
- link
- unlink

Interpreter

```

main {
    extern read, write;
    auto i, c, state, line 100;
}

loop1:
    state = i = 0;
loop1:
    c = read();
    if(c==0) return;
    if(c=='\n' & state==0) state = 2;
    if((c<'0' & c>'9' & c<'a' & c>'z') & state==0) state = 1;
    line[i] = c;
    i = i+1;
    if(c!=012) goto loop1;
    if(state==2 & i==1) goto noi;
    write(' ');
    write(' ');
}

noi:
    i = 0;
loop3:
    c = line[i];
    write(c);
    i = i+1;
    if(c!=012) goto loop3;
    goto loop;
}

```

ind.b

(ind */*

First Research Edition (Nov 1971)

- System Calls
- Binary-Code API
- Abstraction of Standard I/O
- Generic File I/O Layer
- User-Contributed Tools and Games
- The Shell as a User Program
- Interoperability through Documented File Formats
- Tree Directory Structure
- Mountable Filesystem Interface





Bell Laboratories.

subject: Study of UNIX

date: September 14, 1972

from: T. R. Bashkow

Messrs. W. S. Bartlett
 D. P. Clayton
 D. H. Copp
 Mmes. G. J. Hansen
 J. Hints
 Mr. L. J. Kelly
 Miss R. L. Klein

Messrs. J. J. Ludwig
 J. F. Maranzano
 Mrs. G. Pettit
 Messrs. J. E. Ritacco
 B. A. Tague
 D. W. Vogel
 Mrs. L. S. Wright

On Tuesday, September 19, at 9:30 a.m. in Room 2A-418 at Murray Hill, I will give a talk on my study of the UNIX operating system. The emphasis will be on the structure, functional components, and internal operation of the system.

MH-8234-TRB-mbh
 Copy to
 Mr. G. L. Baldwin

T. R. Bashkow

/ initialize inodes for special files (inodes 1 to 40.)

```

mov    $40.,r1 / set r1=i-node-number 40.
1:     jsr    r0,iget / read i-node 'r1' from disk into inode area of
        / core and write modified inode out (if any)
mov    $100017,i.flags / set flags in core image of inode to indi-
        / cate allocated, read (owner, non-owner),
        / write (owner, non-owner)
movb   $1,i.nlks / set no. of links = 1
movb   $1,i.uid / set user id of owner = 1
jsr    r0,setimod / set imod=1 to indicate i-node modified, also
        / stuff time of modification into i-node
dec    r1 / next i-node no. = present i-node no.-1
bgt    1b / has i-node 1 been initialized; no, branch

```

/ initialize i-nodes r1,....,47. and write the root device, binary, etc.,
 / directories onto fixed head disk. user temporary, initialization prog.

Issue D Date 3/17/72 ID IMO.1-1 Section E.0 Page 4

First Edition Unix 1972 FreeBSD 11.1 2018

```

sysrele / 0      0 { int nosys(void); } syscall nosys_args int
sysexit / 1      1 { void sys_exit(int rval); } exit \
    sys_exit_args void
sysfork / 2      2 { int fork(void); }
sysread / 3      3 { ssize_t read(int fd, void *buf, \
    size_t nbyte); }
syswrite / 4     4 { ssize_t write(int fd, const void *buf, \
    size_t nbyte); }
sysopen / 5      5 { int open(char *path, int flags, int mode); }
sysclose / 6     6 { int close(int fd); }
syswait / 7      7 { int wait4(int pid, int *status, \
    int options, struct rusage *rusage); }
syscreat / 8     8 { int creat(char *path, int mode); }
syslink / 9      9 { int link(char *path, char *link); }
sysunlink / 10  10 { int unlink(char *path); }

```

Issue D Date 3/17/72

ID IMO.1-4

Section E.1

Page 1

The Shell as a User Program

11/3/71

PASSWD (V)

NAME passwd -- password file

SYNOPSIS --

DESCRIPTION passwd contains for each user the following information:

```

    name (login name)
    password
    numerical user ID
    default working directory
    program to use as Shell

```

This is an ASCII file. Each field within each user's entry is separated from the next by a colon. Each user is separated from the next by a new-line. If the password field is null, no password is demanded; if the Shell field is null, the Shell itself is used.

Abstraction of Standard I/O

11/3/71

SH (I)

Two characters cause the immediately following string to be interpreted as a special argument to the shell itself, not passed to the command. An argument of the form "<arg" causes the file arg to be used as the standard input file of the given command; an argument of the form ">arg" causes file "arg" to be used as the standard output file for the given command.

Interoperability through Documented File Formats

V. FILE FORMATS

| | |
|-------------------|-----------------------------|
| a.out | assembler and loader output |
| archive | archive file |
| bppt | binary paper tape format |
| core | core image file |
| directory | directory format |
| file system | file system format |
| passwd | password file |
| uids | map names to user ID's |
| utmp | logged-in user information |

| Format | Description | Clients |
|-------------|-----------------------------|--|
| a.out | Assembler and linker output | as, ld, strip, nm, un |
| Archive | Object code libraries | ar, ld |
| Core | Crashed program image | Kernel, db |
| Directory | File system directories | du, find, ls, ln, mkdir, rmdir |
| File system | File system format | check, dump,* mkfs, restor* |
| Ident | GECOD ident card format | opr |
| Password | User accounts and passwords | chown, find, getpw,* login,* ls, passwd* |
| Tape* | DECtape file format | mt,* tap* |
| Uid | User identifier to name map | chown |
| utmp | Logged in users | init, login,* who,* write* |
| wtmp* | Users login history | acct, date, init, login, tacct, who |

User-Contributed Tools and Games

VI. USER MAINTAINED PROGRAMS

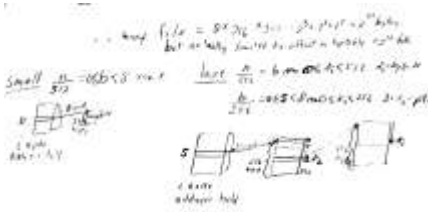
```

basic ..... DEC supplied BASIC
bj ..... the game of black jack
cal ..... print calendar
chess ..... the game of chess
das ..... disassembler
dli ..... load DEC binary paper tapes
dpt ..... read DEC ASCII paper tapes
moo ..... the game of MOO
sort ..... sort a file
ttt ..... the game of tic-tac-toe

```

Tree Directory Structure

- mkdir(II)
- chdir(II)
- chdir(I)
- find(I)
- ln(I)
- ls(I)
- stat(I)
- mkdir(I)
- mv(I)
- rm(I)
- rmdir(I)



Mountable Filesystem Interface

- mount(II)
- umount(II)
- mount(I)
- umount(I)

Second Research Edition (Jun 1972)

- Software Library

```

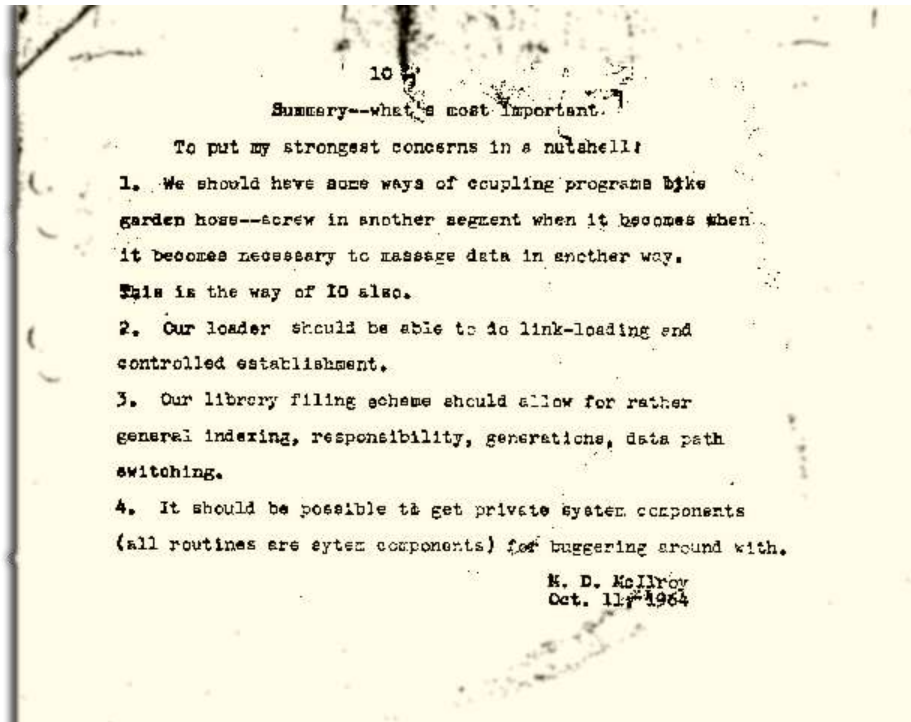
III.  SUBROUTINES
atan ..... arctangent
atof ..... convert ASCII to floating
atoi ..... convert ASCII to integer
const ..... floating-point constants
ctime ..... convert time to ASCII
exp ..... exponential function
fptrap ..... floating-point simulator
ftoa ..... convert floating to ASCII
gerts ..... communicate with GCOS
getc ..... get character
hypot ..... compute hypotenuse
itoa ..... convert integer to ASCII
log ..... logarithm base e
mesg ..... print string on typewriter
nlist ..... read name list
ptime ..... print time
putc ..... write character or word
qsort ..... quicker sort
salloc ..... storage allocator
sin ..... sine, cosine
sqrt ..... square root
switch ..... transfer depending on value

```



Third Research Edition (Feb 1973)

- Pipes and Filters



Fourth Research Edition (Nov 1973)

- Structured Programming
- User Groups
- Language-Independent API
- Data Structure Definition Reuse
- Dynamic Resource Management
- Device Driver Abstraction
- Buffer Cache

Structured Programming

- Kernel implemented in “New B”
- 6373 lines New B
- 768 lines PDP-11 assembly
- 105 functions + 50 assembly symbols
- vs 248 global symbols in the First Edition

Language-Independent API

```

PIPE(II)                                     8/5/73                                     PIPE(II)

NAME
    pipe - create a pipe

SYNOPSIS
    (pipe = 42.)
    sys pipe
    (read file descriptor in r0)
    (write file descriptor in r1)
    pipe(fildes)
    int fildes[2];

DESCRIPTION
    The pipe system call creates an I/O mechanism called a pipe. The file descriptors returned can be used in read and write operations. When the pipe is written using the descriptor returned in r1 (resp. fildes[1]), up to 4096 bytes of data are buffered before the writing process is suspended. A read using the descriptor returned in r0 (resp. fildes[0]) will pick up the data.

    It is assumed that after the pipe has been set up, two (or more) cooperating processes (created by subsequent fork calls) will pass data through the pipe with read and write calls.

    The shell has a syntax to set up a linear array of processes connected by pipes.

    Read calls on an empty pipe (no buffered data) with only one end (all write file descriptors closed) return an end-of-file. Write calls under similar conditions are ignored.
  
```

Data Structure Definition & Reuse

```
buf.h   filsys.h  proc.h   text.h
conf.h  inode.h   reg.h    tty.h
file.h  param.h   system.h user.h
```

Dynamic Resource Management

```
int     coremap[CMAPSIZ];
int     swapmap[SMAPSIZ];
```

```
struct map {
    char *m_size;
    char *m_addr;
};
```

```
malloc(mp, size)
struct map *mp;
{
    ...
```

Device Driver Abstraction

IV. SPECIAL FILES

| | | |
|-----|-------|---------------------------------|
| cat | | phototypesetter interface |
| da | | voice response unit |
| dc | | DC-11 communications interface |
| dn | | dn11 ACU interface |
| dp | | dp11 201 data-phone interface |
| kl | | KL-11/TTY-33 console typewriter |
| mem | | core memory |
| pc | | PC-11 paper tape reader/punch |
| rf | | RF11/RS11 fixed-head disk file |
| rk | | RK-11/RK03 (or RK05) disk |
| rp | | RP-11/RP03 moving-head disk |
| tc | | TC-11/TU56 DECtape |
| tiu | | Spider interface |
| tm | | TM-11/TU-10 magtape interface |
| vs | | voice synthesizer interface |
| vt | | 11/20 (vt01) interface |

Driver Interface

```

struct {
    int    (*d_open)();
    int    (*d_close)();
    int    (*d_strategy)();
} bdevsw[];

struct {
    int    (*d_open)();
    int    (*d_close)();
    int    (*d_read)();
    int    (*d_write)();
    int    (*d_sgTTY)();
} cdevsw[];

```

Buffer Cache

Fourth Edition

```
#define B_READ 01
#define B_DONE 02
#define B_ERROR 04
#define B_BUSY 010
#define B_XMEM 060
#define B_WANTED 0100
#define B_RELOC 0200
#define B_ASYNC 0400
#define B_DELWRI 01000
```

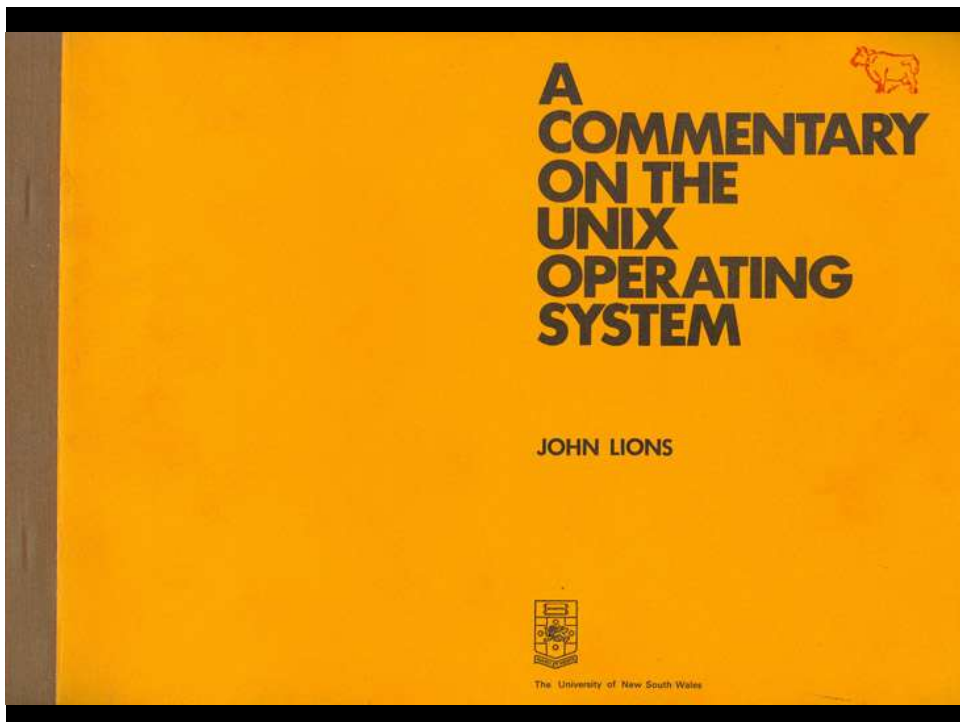
FreeBSD 11.1

```
#define B_ASYNC 0x00000004
/* Start I/O, do not wait.
*/
[...]
#define B_DELWRI 0x00000080
/* Delay I/O until buffer
reused. */
#define B_DONE 0x00000200
/* I/O completed. */
```

Fifth Research Edition (Jun 1974)

- Command Files

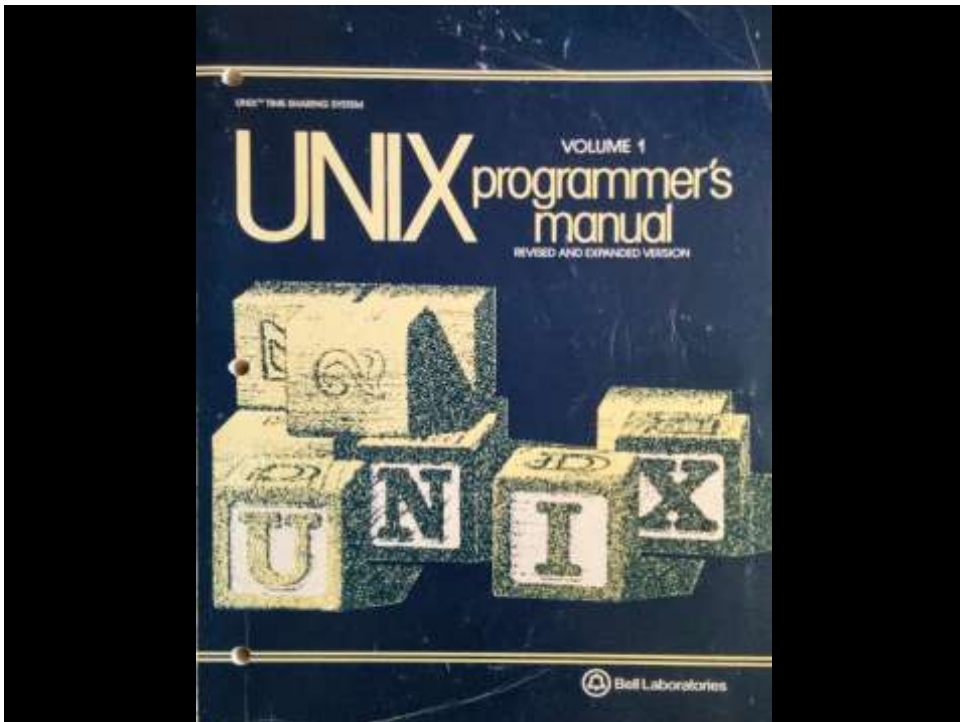
```
chdir /usr/source/s3
cc -c ctime.c
ar r /lib/liba.a ctime.o
rm ctime.o
chdir /usr/source/s1
cc -s -n date.c
cp a.out /bin/date
cc -s -n dump.c
cp a.out /bin/dump
cc -s -n ls.c
cp a.out /bin/ls
rm a.out
```



Sixth Research Edition (May 1975)

- Portable C Library

| | | | |
|-----------------------|-----------------------|-----------------------|-----------------------|
| <code>alloc.c</code> | <code>clenf.c</code> | <code>makbuf.c</code> | <code>scan1.c</code> |
| <code>calloc.c</code> | <code>copen.c</code> | <code>maktab.c</code> | <code>scan2.c</code> |
| <code>cclose.c</code> | <code>cputc.c</code> | <code>nexch.c</code> | <code>scan3.c</code> |
| <code>ceof.c</code> | <code>cwrld.c</code> | <code>nodig.c</code> | <code>system.c</code> |
| <code>cerror.c</code> | <code>dummy.s</code> | <code>printf.c</code> | <code>tmpnam.c</code> |
| <code>cexit.c</code> | <code>ftoa.c</code> | <code>putch.c</code> | <code>unget.c</code> |
| <code>cflush.c</code> | <code>getch.c</code> | <code>puts.c</code> | <code>unprnt.s</code> |
| <code>cfree.c</code> | <code>gets.c</code> | <code>relvec.c</code> | <code>wdleng.c</code> |
| <code>cgetc.c</code> | <code>getvec.c</code> | <code>revput.c</code> | |
| <code>ciodec.c</code> | <code>iehzap.c</code> | <code>run</code> | |



Seventh Research Edition (Jan 1979)

- Unix as a Virtual Machine
- Dynamic Memory Allocation
- Static Analysis
- Environment Variables
- Language Development Tools
- Domain-Specific Languages
- Filesystem Directory Hierarchy

Unix as a Virtual Machine

Also, about this time [1973] I had a fateful discussion with Dennis, in which he said

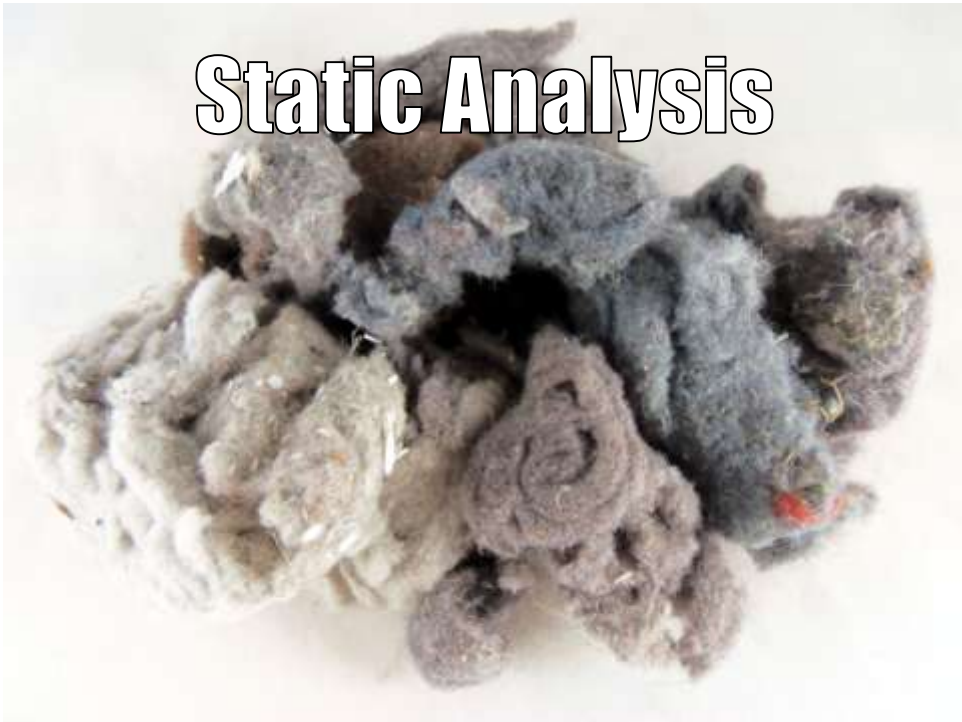
“I think it may be easier to port Unix to a new piece of hardware than to port a complex application from Unix to a new OS”

— Steve Johnson

Dynamic User Memory Allocation

- malloc(3), free(3)
- 26 programs: awk cc col cron dc dcheck diff ed eqn expr graph ick check learn ls m4 neqn nm quot ratfor spline struct tar tsort uucp xsend quiz
- stdio(3), mp(3)

Static Analysis



Environment Variables

- KEY=value
- Kernel
- Shell
- C Library

```

ENVIRON(5)                                UNIX Programmer's Manual                                ENVIRON(5)

NAME
  environ - user environment

SYNOPSIS
  extern char **environ;

DESCRIPTION
  An array of strings called the 'environment' is made available by exec(2) when a process begins. By
  convention these strings have the form 'name=value'. The following names are used by various core
  commands:

  PATH The sequence of directory prefixes that sh, zsh, xsh(1), etc., apply in searching for a file
  known by an incomplete path name. The prefixes are separated by ':'. Login(1) sets
  PATH=/bin:/usr/bin.

  HOME A user's login directory, set by login(1) from the password file passwd(5).

  TERM The kind of terminal for which output is to be prepared. This information is used by com-
  mands, such as cprog or plw(1), which may exploit special terminal capabilities. See term(7)
  for a list of terminal types.

  Further names may be placed in the environment by the export command and 'name=value' arguments
  in sh(1), or by exec(2). It is unwise to conflict with certain Shell variables that are frequently
  exported by 'gmfile' files: MAIL, PS1, PS2, PS3.

SEE ALSO
  exec(2), sh(1), term(7), login(1)

```

Language Development Tools

- lex(1)
- yacc(1)
- 12 clients: awk bc
cpp egrep eqn lex
m4 make pcc
neqn struct
-



Domain-Specific Languages

- sh
- awk
- sed
- find
- expr
- egrep
- m4
- make

First and Second Berkeley Software Distributions (1978)

- Software Packages
 - csh
 - ex
 - Mail
 - Pascal
 - termlib

3BSD (1979)

- Virtual Memory Paging
 - vm_*.c
 - 2808 out of 16039 C source code
 - 17% of kernel source code
 - vread(2), vwrite(2), vfork(2)



4BSD (Oct 1980)

- Regular Expression Library: regex(3)
- Optimized Screen Handling

Regular Expression Library: regex(3)

- 5 implementations: awk, sed, ed, grep, expr
- 1 client: more(1)
- 2 more by 4.3: dbx(1), rdist(1)
- 4 replacements in FreeBSD: ed, grep, sed, expr

Optimized Screen Handling

- curses(3)
- termcap(5)



4.2BSD (Sep 1983)

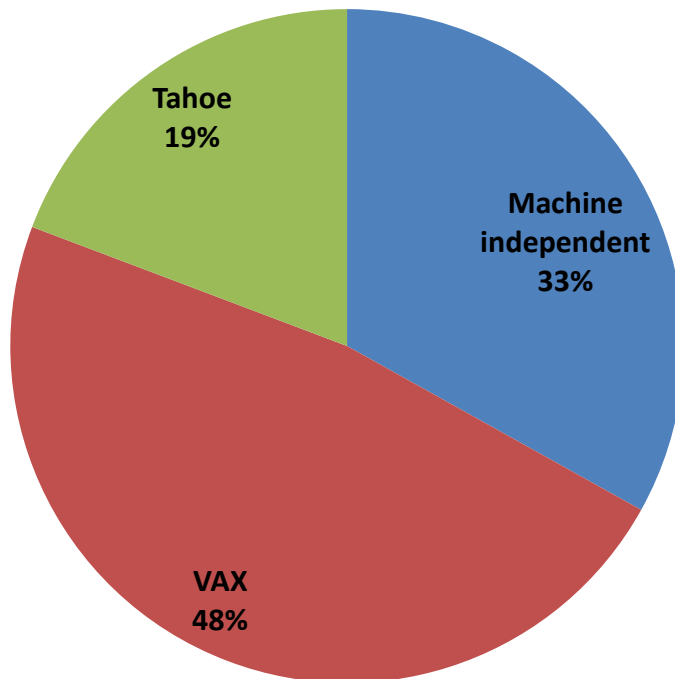
- Internet Protocol Family
 - ARP, IP, TCP, UDP, ICMP
- Local and Remote Interprocess Communication
 - socket(2), etc.
- Network and User Database Access
 - getfsent(3x), getgrent(3), gethostent(3n),
getnetent(3n), getprotoent(3n), getpwent(3),
getservent(3n)
- Pseudo-Terminal Driver
 - pty(4)

- 2 library functions
 - rcmd(3x)
 - rexec(3x)
- 11 system daemons
 - comsat(8c)
 - ftpd(8c)
 - gettable(8c)
 - implogd(8c)
 - rexecd(8c)
 - rlogind(8c)
 - af(8c)
 - rshd(8c)
 - rwhod(8c)
 - telnetd(8c)
 - tftpd(8c)
- 8 user-mode programs
 - ftp(1c)
 - rlogin(1c)
 - rsh(1c)
 - talk(1c)
 - telnet(1c)
 - tftp(1c)
 - whois(1c)
 - sendmail(1c)

| System call | Uses |
|-------------|------|
| bind | 23 |
| connect | 15 |
| accept | 13 |
| select | 12 |
| listen | 11 |
| sendto | 10 |
| shutdown | 9 |
| recvfrom | 8 |
| getsockname | 6 |
| recv | 2 |
| send | 2 |
| sendmsg | 1 |
| getsockopt | 0 |
| recvmsg | 0 |
| socketpair | 0 |

4.3BSD Tahoe (Jun 1988)

- Multiple CPU Architecture Support
 - VAX
 - CCI Power 6/32 (Tahoe)
- Timezone Handling
 - Community contribution
 - Separation of timezone rules from code



4.3BSD Reno (Jun 1990)

- Kernel Packet Forwarding Database
 - route(4)
 - routed(8), XNSrouted(8)
- Virtual Filesystem Interface
 - ...

vnode

```

/*
 * Operations on vnodes.
 */
struct vnodeops {
    int     (*vn_lookup)(          /* ndp */ );
    int     (*vn_create)(         /* ndp, fflags, vap, cred */ );
    int     (*vn_mknod)(          /* ndp, vap, cred */ );
    int     (*vn_open)(           /* vp, fflags, cred */ );
    int     (*vn_close)(          /* vp, fflags, cred */ );
    int     (*vn_access)(         /* vp, fflags, cred */ );
    int     (*vn_getattr)(        /* vp, vap, cred */ );
    int     (*vn_setattr)(        /* vp, vap, cred */ );

    int     (*vn_read)(           /* vp, uiop, offp, ioflag, cred */ );
    int     (*vn_write)(          /* vp, uiop, offp, ioflag, cred */ );
    int     (*vn_ioctl)(          /* vp, com, data, fflag, cred */ );
    int     (*vn_select)(         /* vp, which, cred */ );
    int     (*vn_mmap)(           /* vp, ..., cred */ );
    int     (*vn_fsync)(          /* vp, fflags, cred */ );
    int     (*vn_seek)(           /* vp, (old)offp, off, whence */ );
...

```

4.3BSD Net/2 (Jun 1991)

- Stream I/O Functions
 - funopen(3)
 - GNU funopencookie(3) added in FreeBSD 11

4.4BSD (Jun 1994)

- Stackable Filesystems
 - mount_null(8)
 - mount_union(8)
- Generic System Control Interface (MIB)
 - sysctl(1)
 - sysctl(3)
 - sysctl(9)



386BSD Patch Kit (1992-1993)

- Organized Community Contributions
 - From open source software ...
 - ... to an open source **project**
- Patch metadata
 - title
 - author
 - description
 - prerequisites



FreeBSD 1.1 (May 1994)

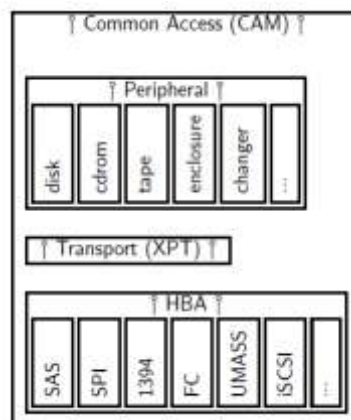
- Package Manager
 - Patch
 - Compile
 - Install
 - Uninstall
 - Handling of dependencies

FreeBSD 2.0 (Nov 1994)

- Process Filesystem
 - procfs(5)
- Dynamically Loadable Kernel Modules
 - lkm(4), then kld(4)
 - device drivers
 - file systems
 - emulators
 - system calls
 - **992** modules in FreeBSD 11.1

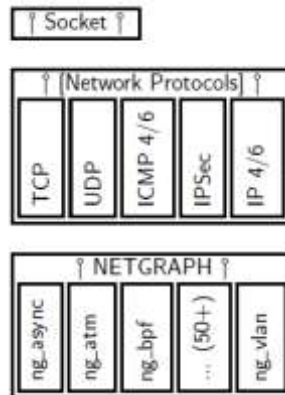
FreeBSD 3.0.0 (Jan 1999)

- Common Access Method I/O Subsystem (CAM)



FreeBSD 3.4.0 (Dec 1999)

- Graph-based Kernel Networking and User Library (NETGRAPH)

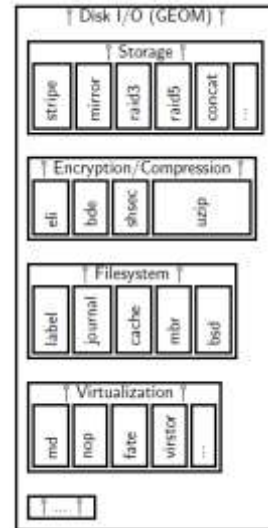


FreeBSD 4.0.0 (Mar 2000)

- OpenSSL Secure Sockets Layer and Transport Layer Security framework
 - Version 0.9.4
 - 1127 files, 227118 lines
 - libssl(3), libcrypto(3), openssl(1)
- Jail: Isolate a process and its descendants

FreeBSD 5.0.0 (May 2006)

- Modular Disk I/O Request Transformation Framework (GEOM)



FreeBSD 5.3.0 (Nov 2004)

- Streaming Archive Access Library
- Miniport Driver Wrapper

FreeBSD 7.0.0 (Feb 2008)

- ZFS Filesystems and Storage Pools

FreeBSD 7.1.0 (Dec 2008)

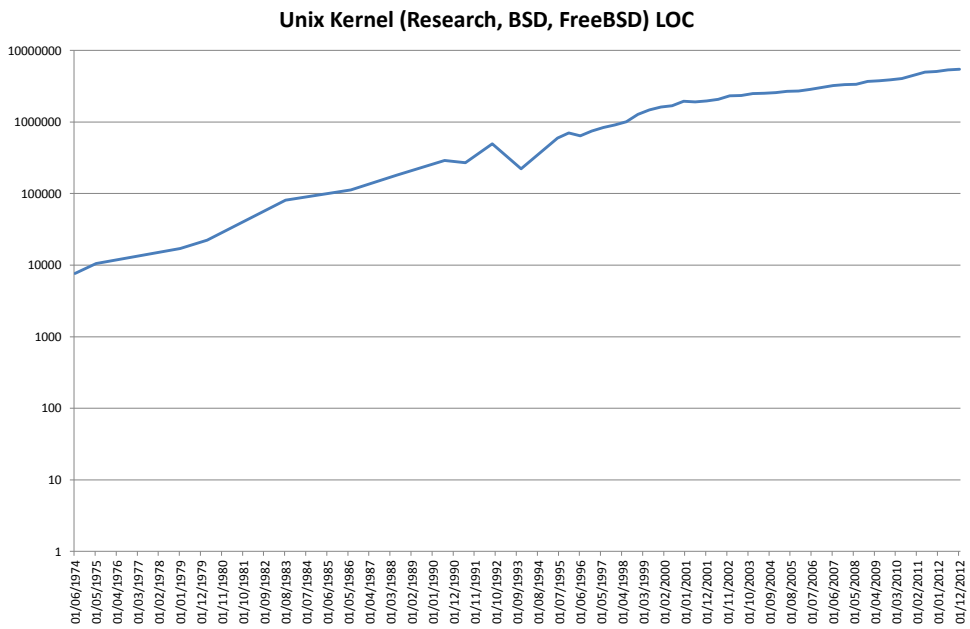
- Dynamic Tracing

FreeBSD 8.0.0 (Nov 2009)

- Packet Capture Library
 - pcap(3)

FreeBSD 9.0.0 (Jan 2012)

- Infiniband / RDMA High-Speed Low-Latency Switched-Fabric Interconnect Library

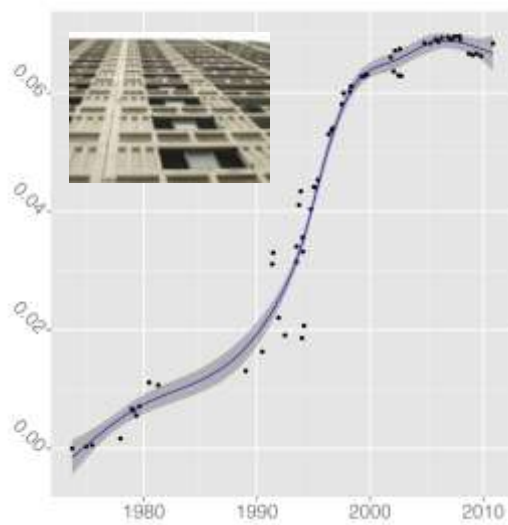




Modularity increases with code size

Increase in number of
static declarations /
statement

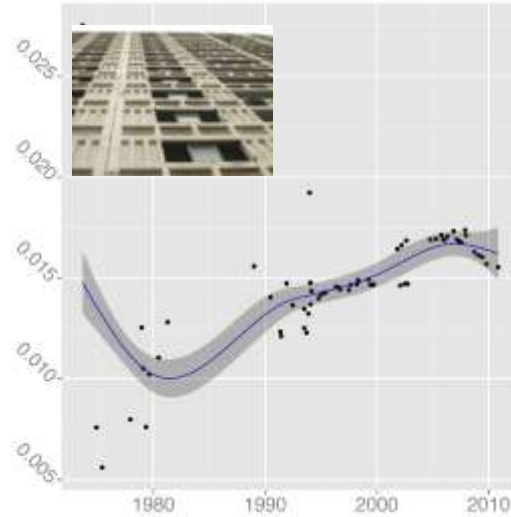
static short splice;



Modularity increases with code size

Increase in number of
#include directives /
line

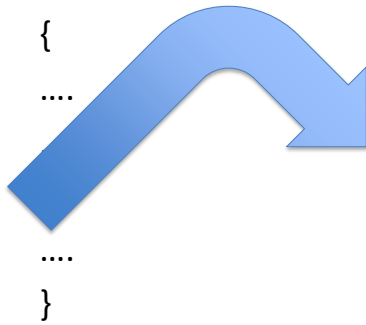
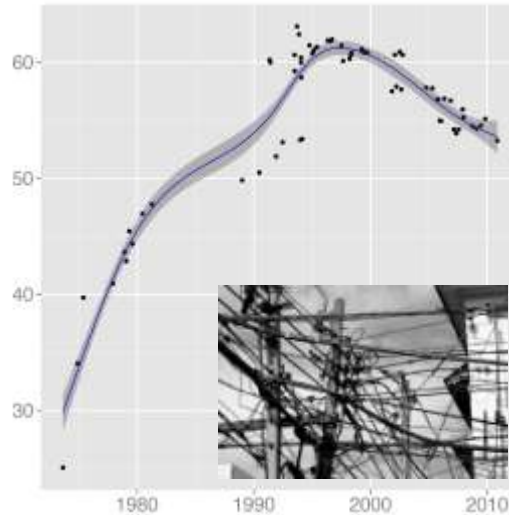
`#include "if_uba.h"`



Software complexity evolution follows self correction

Mean lines / function

```
{
....
....
}
```

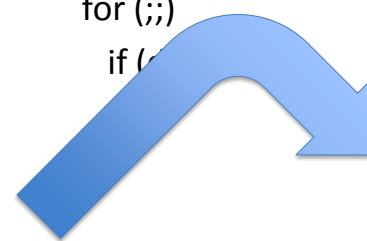
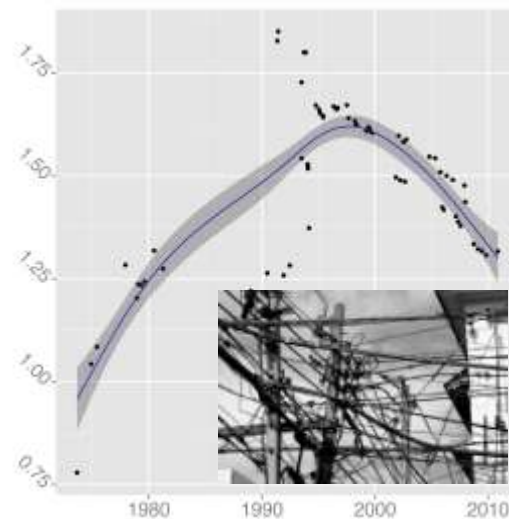
Software complexity evolution follows self correction

Mean statement nesting

```
if (a)
```

```
  while (b)
```

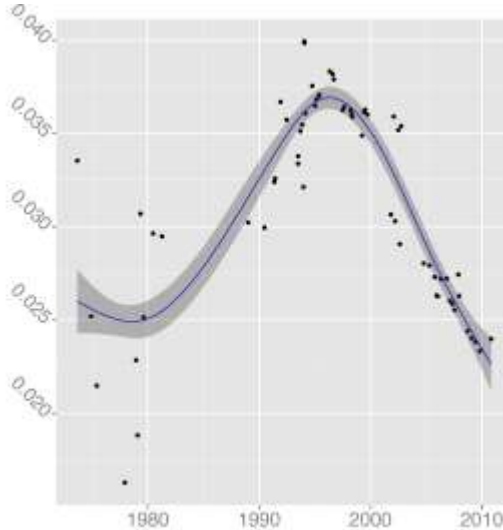
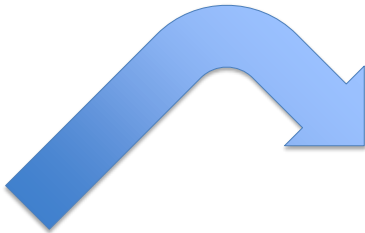
```
    for (;;)
      if (c)
```

Software complexity evolution follows self correction

Density of C preprocessor non-include directives

#define
#if



Letters to the Editor

Go To Statement Considered Harmful

Ray Weeks and Phyllis go to statement, jump statements, branch statements, conditional flow, alternative flow, repetitive flow, program modifiability, program sequencing, OS Catalogue: 4.22, A.22, A.30

Error:

For a number of years I have been familiar with the observation that the quality of programming is a decreasing function of the density of go to statements in the programs they produce. More recently I discovered why the use of the go to statement has such disastrous effects, and I became convinced that things to statements should be abolished from all "higher level" programming languages (i.e. everything except, perhaps, plain machine code). At that time I did not attach too much importance to this discovery; I now regret my considerations for publication because to my regret circumstances in which the subject turned up, I have been asked to do so.

My first remark is that, although the programmer's activity ends when he has constructed a correct program, the process taking place under control of his program is the true subject matter of his activity, for it is this process that has to accomplish the desired effect, it is this process that in its dynamic behavior has to satisfy the desired specifications. Yet, once the program has been made, the "making" of the corresponding process is delegated to the machine.

My second remark is that our intellectual powers are rather dynamic programs in only those instances when we also give to which all of the procedures we solve. With the technique of procedures we can characterize the progress of the process via a sequence of control indices, the length of the sequence being equal to the dynamic depth of procedure calling.

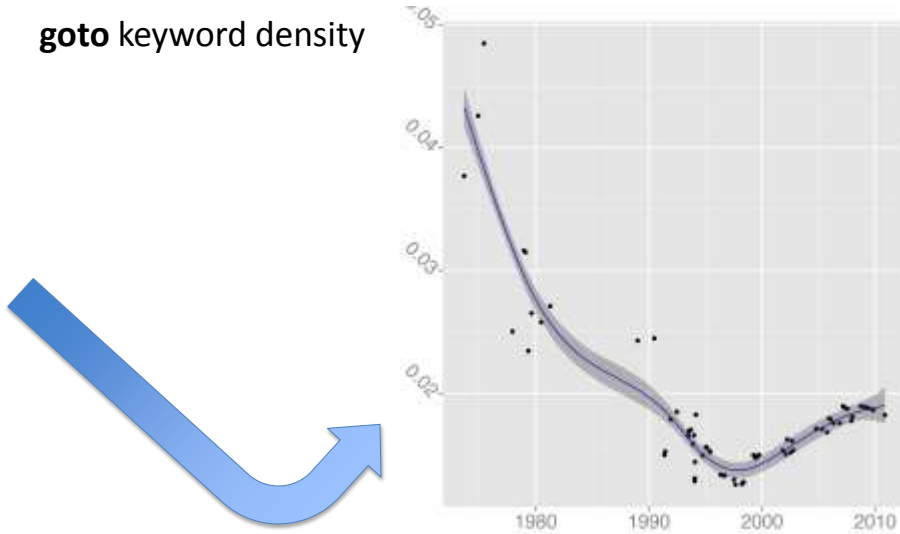
Let us now consider repetitive flows (i.e. while B repeat A or repeat A until B). Logically speaking, such flows are new experiences, because we can express repetitions with the aid of recursive procedures. For reasons of control I don't wish to exclude them; on the one hand, repetitive flows can be implemented quite comfortably with present day finite automata; on the other hand, the recursive pattern known as "induction" makes us well equipped to retain our intellectual grasp on the processes generated by repetitive flows. With the technique of the repetitive flows control indices are no longer sufficient to describe the dynamic progress of the process. With each entry into a repetitive flow, however, we may associate a so-called "dynamic index," necessarily increasing the control number of the corresponding current repetition. As repetitive flows (just as procedure calls) may be applied repeatedly, we feel that new the progress of the process can always be uniquely characterized by a (finite) sequence of control and/or dynamic indices.

The main point is that the values of these indices are entirely programmer's control; they are generated solely by the write-up of his program or by the dynamic evolution of the process, whether he wishes or not. They provide independent coordinates in which to describe the progress of the process.

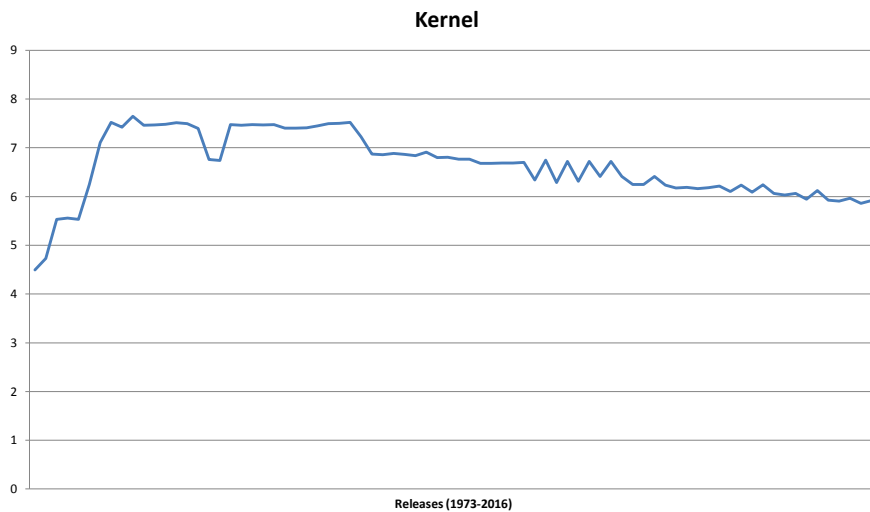
EDSGER W. DIJKSTRA
 Technological University
 Eindhoven, The Netherlands

Software complexity evolution follows self correction

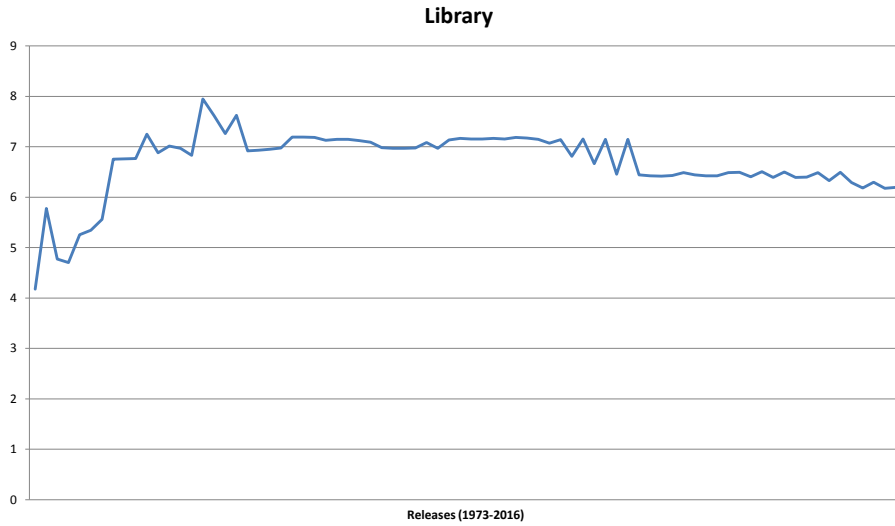
goto keyword density



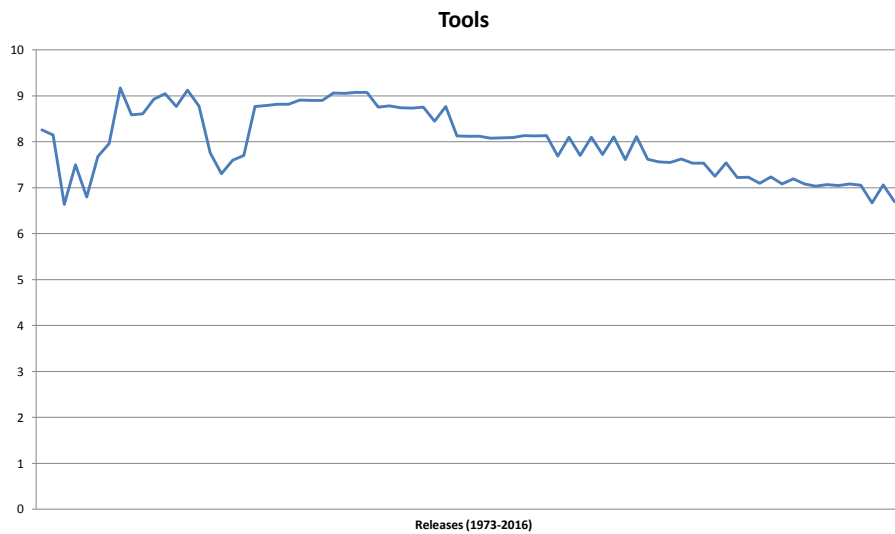
Cyclomatic Complexity



Cyclomatic Complexity



Cyclomatic Complexity



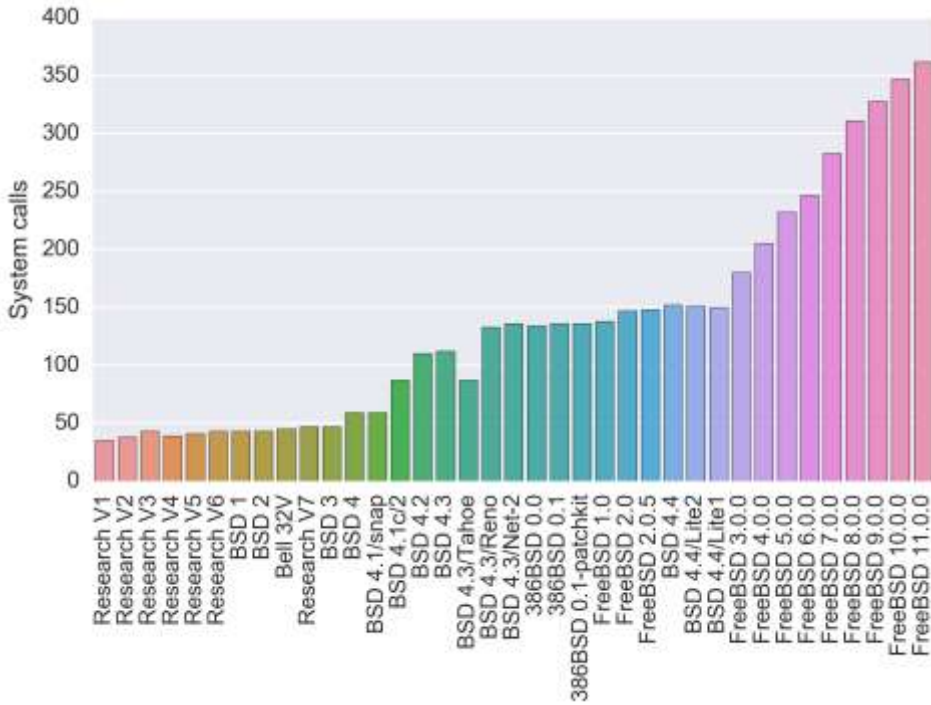
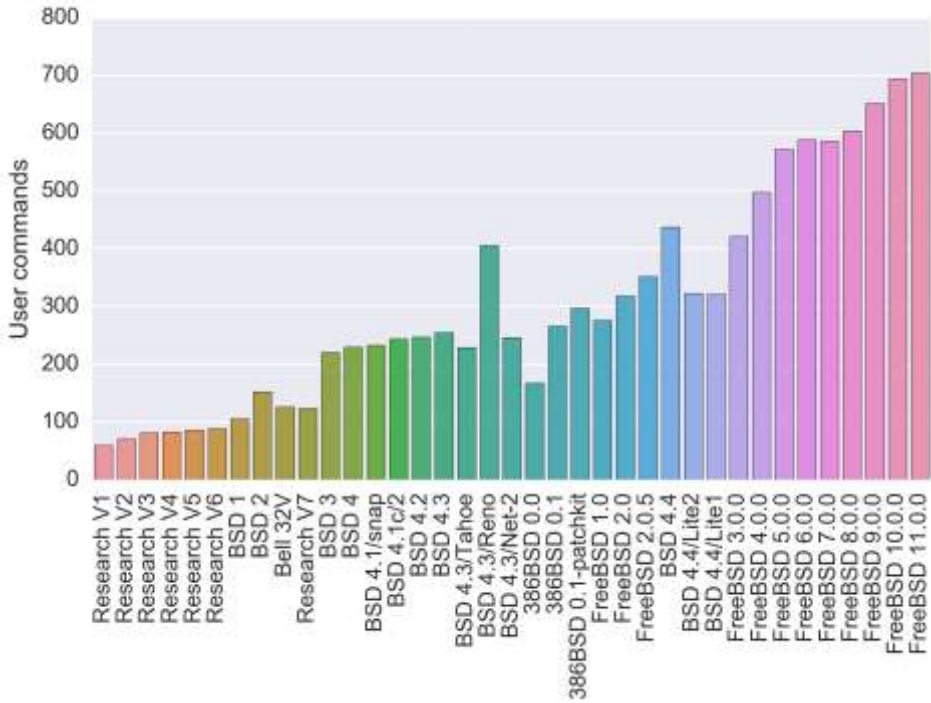
Agreement with Lehman's Laws

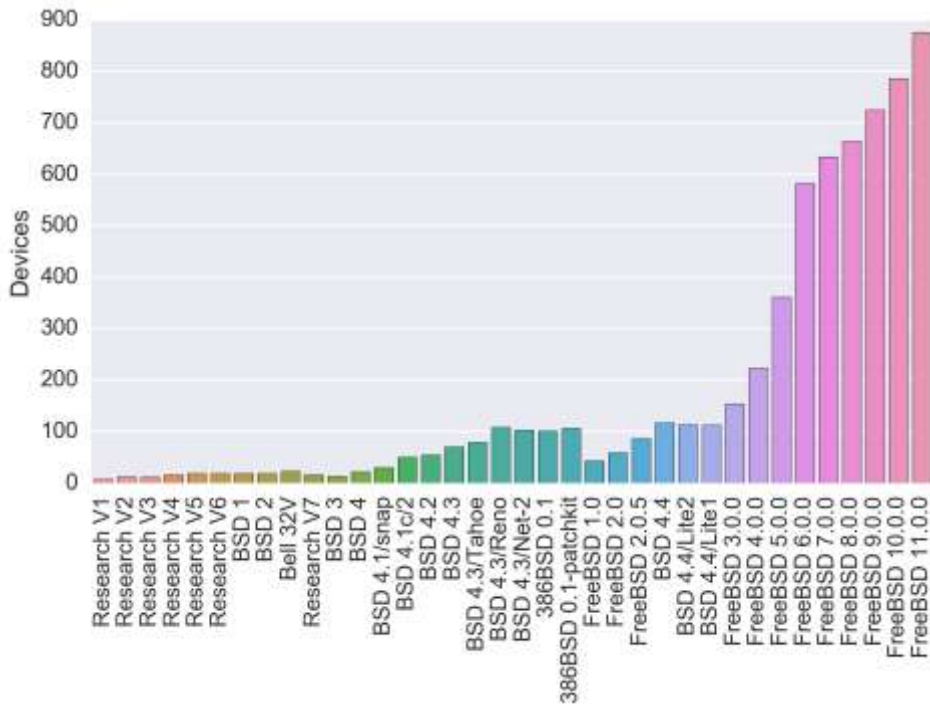
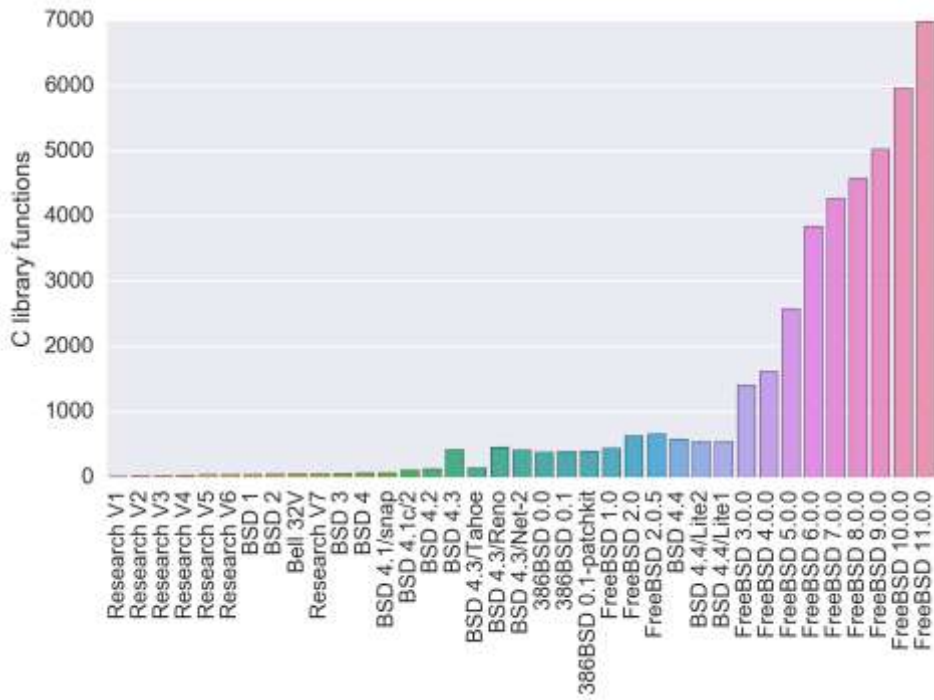
- Increasing Complexity
- Conservation of Familiarity
- Declining Quality
- Feedback System

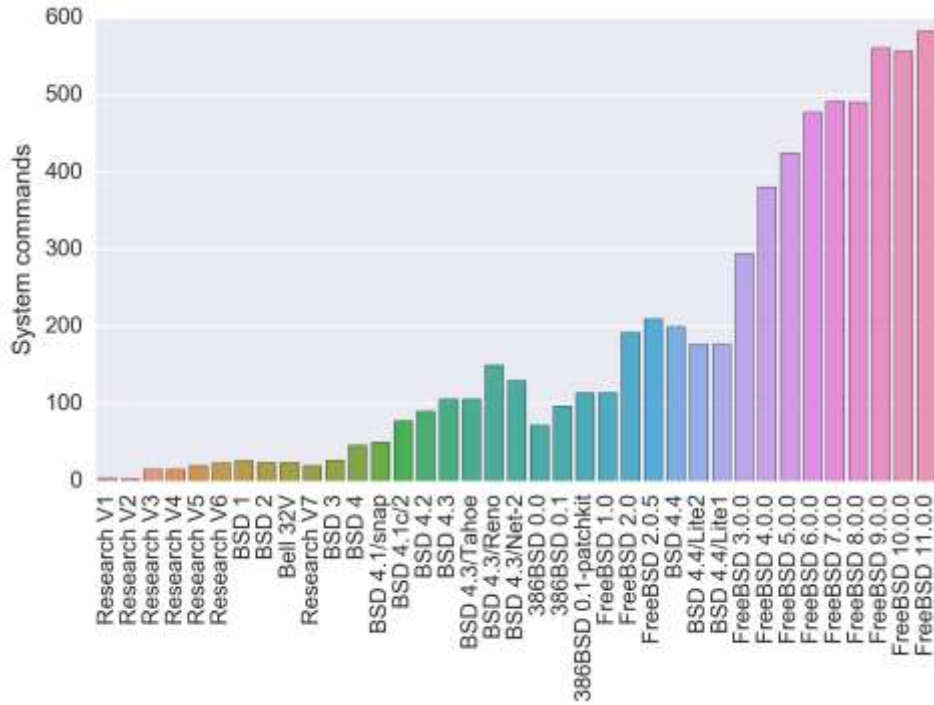
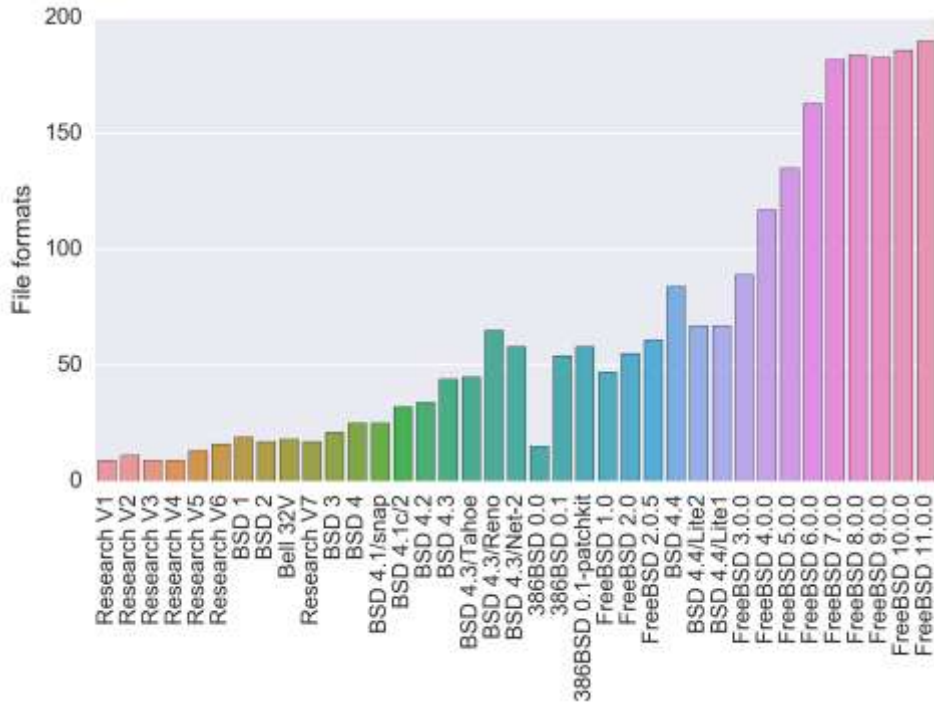


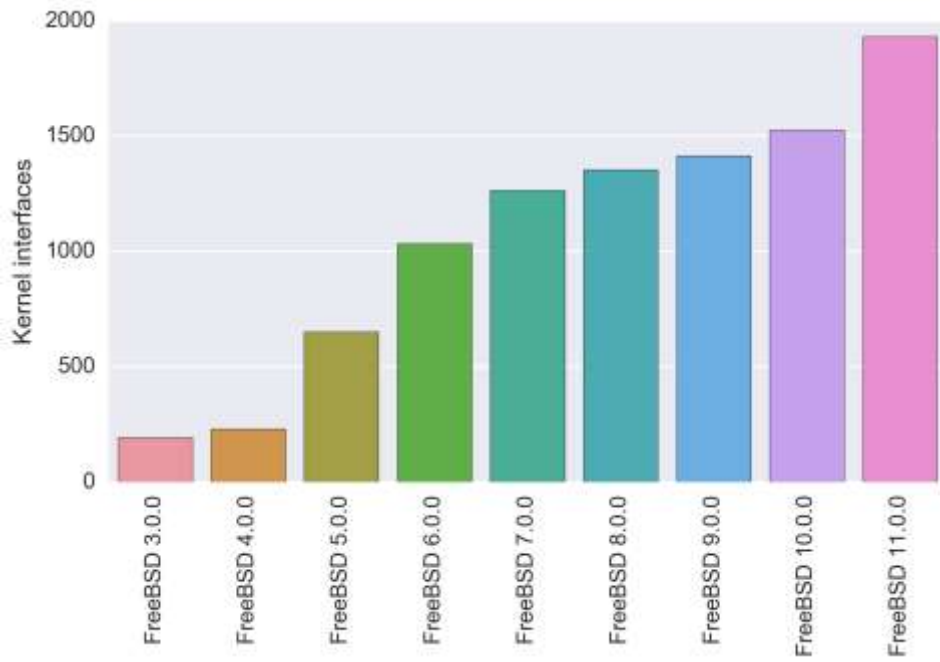
Growth in Facilities

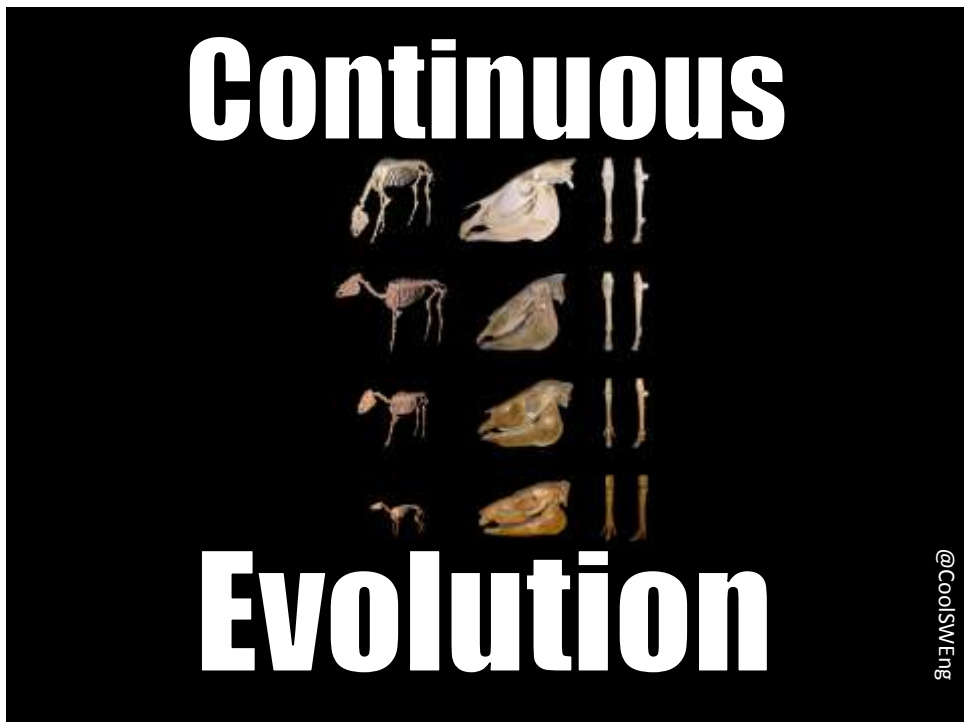








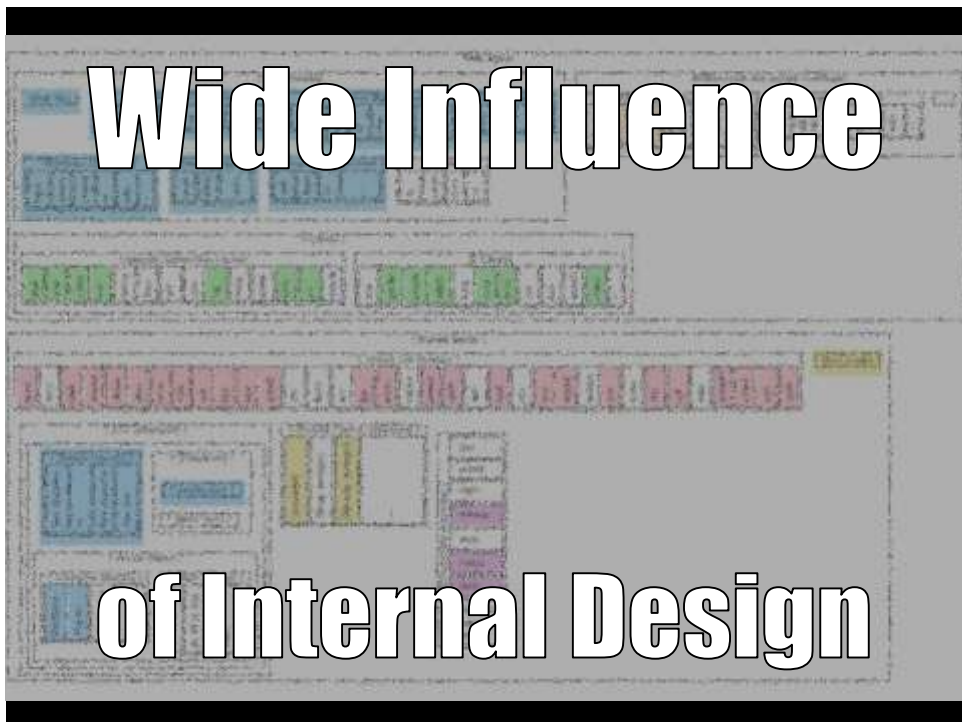






Slowdown

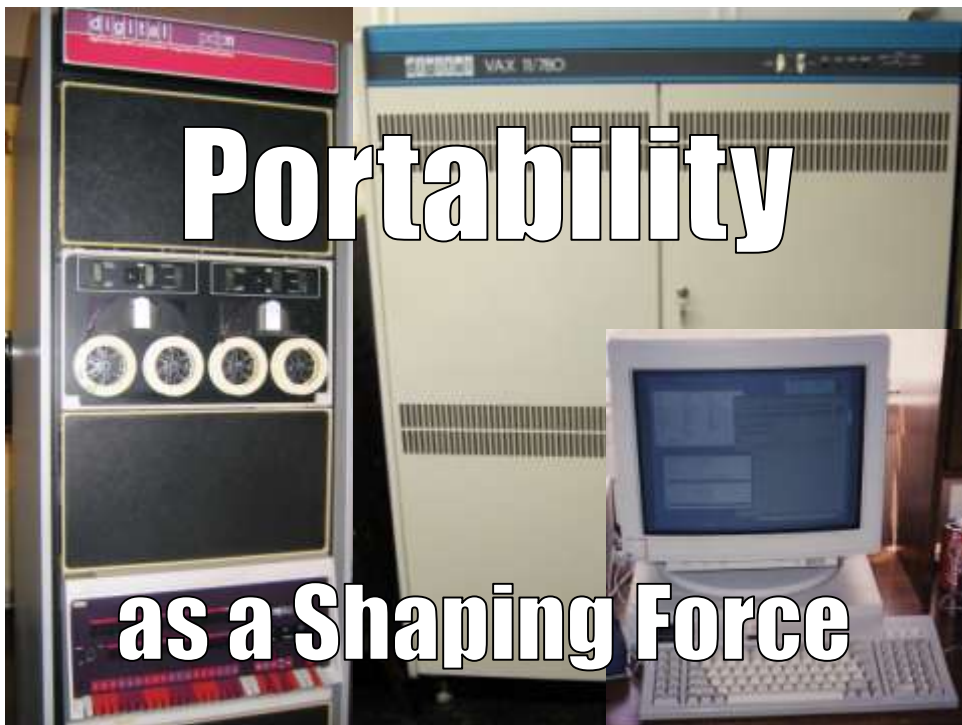
of Architectural Evolution

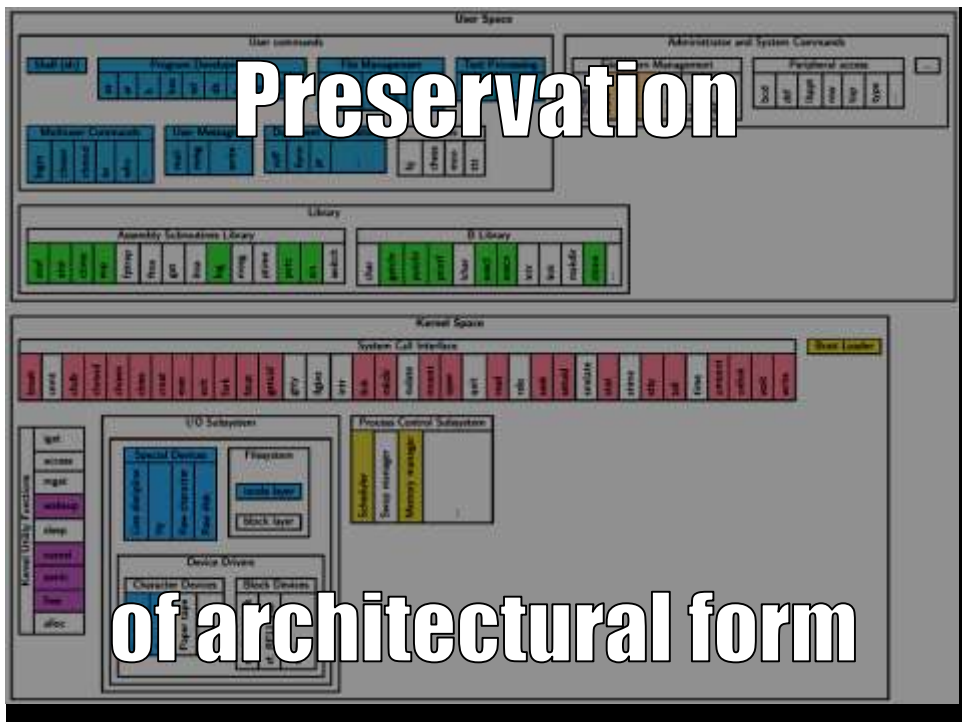


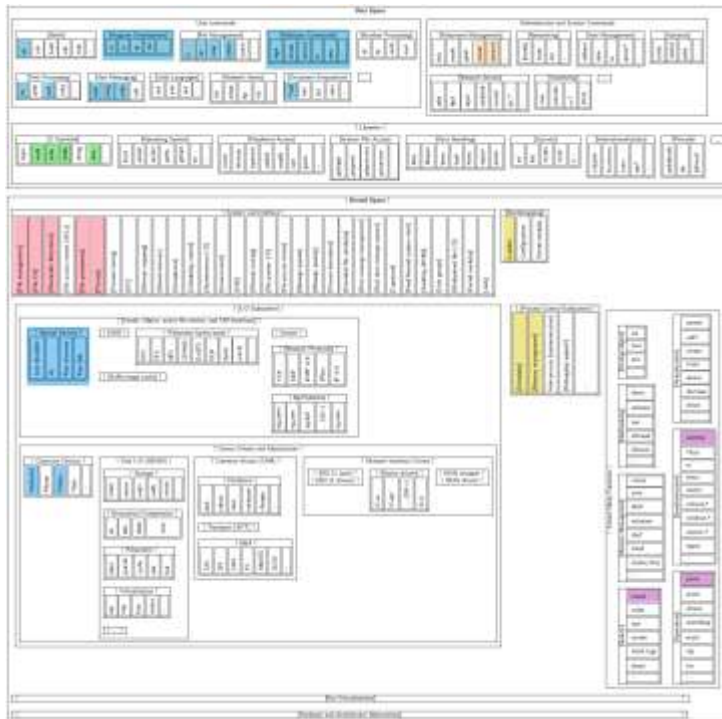
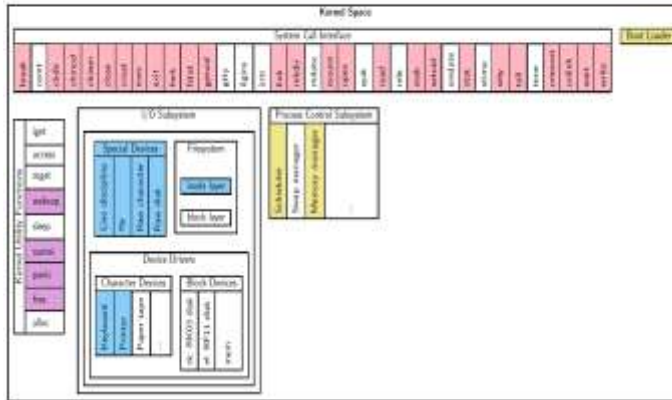
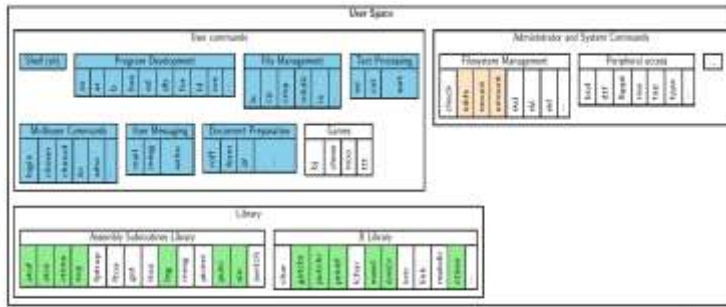
Wide Influence

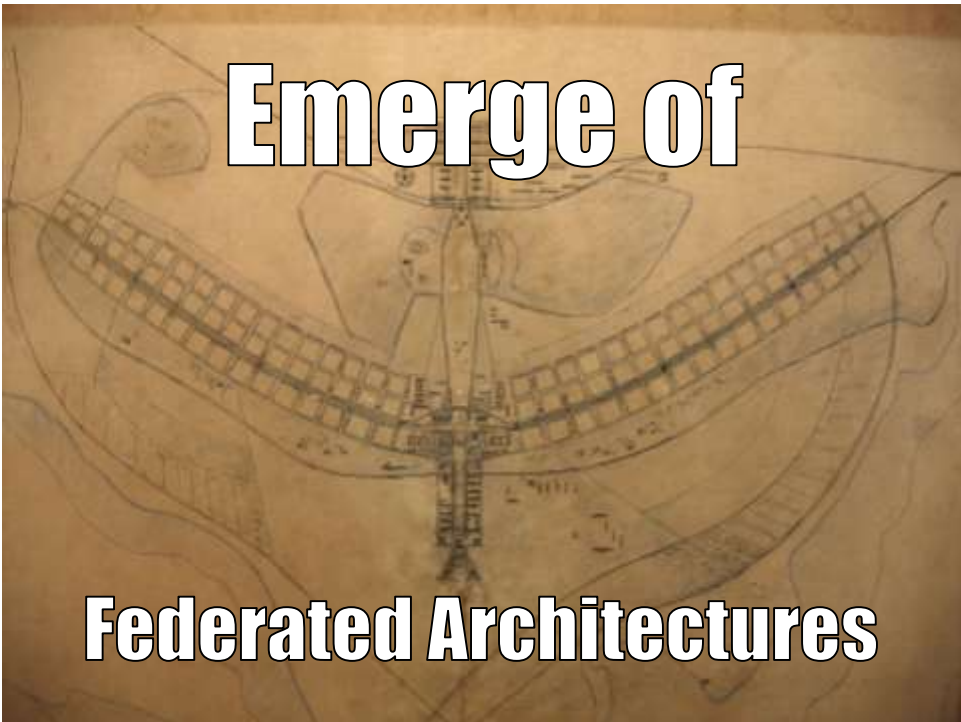
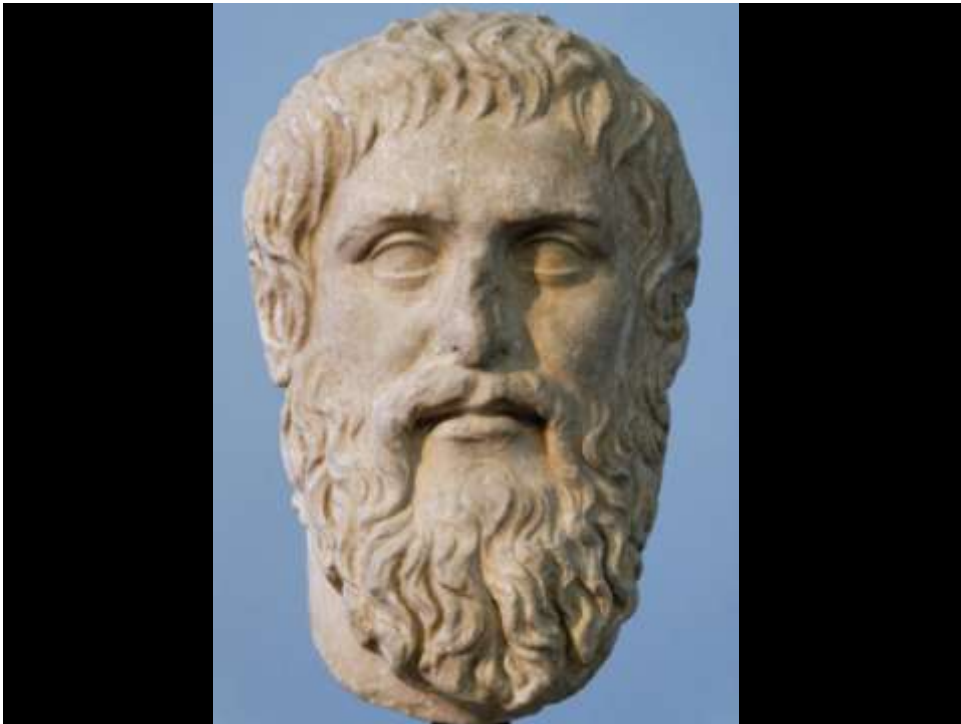
of Internal Design













Thank you!

github.com/dspinel1is/unix-history-repo



www.spinel1is.gr



@CoolSWEng

Funding Credit

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